

Part 6. Trees (3)

CS 200 Algorithms and Data Structures

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Outline

- **B-Trees**
- External Methods

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B-tree of degree m

- All leaves are at the same level
- Each node contains between $m-1$ and $\text{floor}((m-2)/2)$ records (except for the root).
- Each internal node has one more child than it has records.
 - An exception to this rule is the root node
 - As few as one record
 - As few as two children
- A 2-3 tree is a B-tree of degree 3.

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Example: A B-tree of degree 5

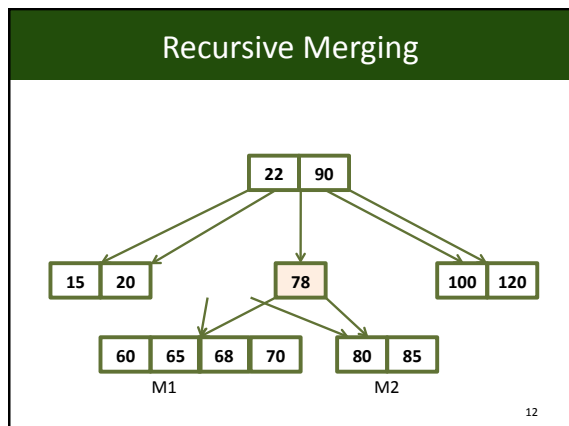
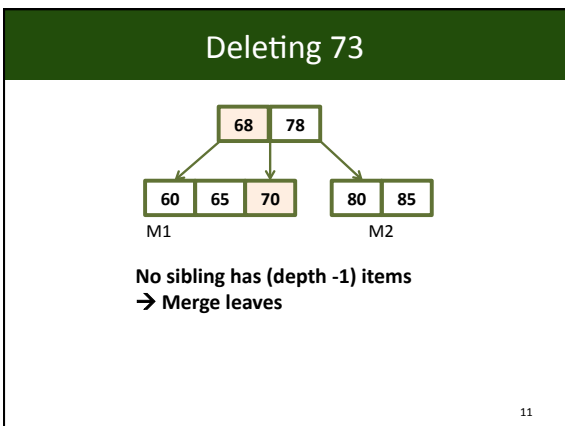
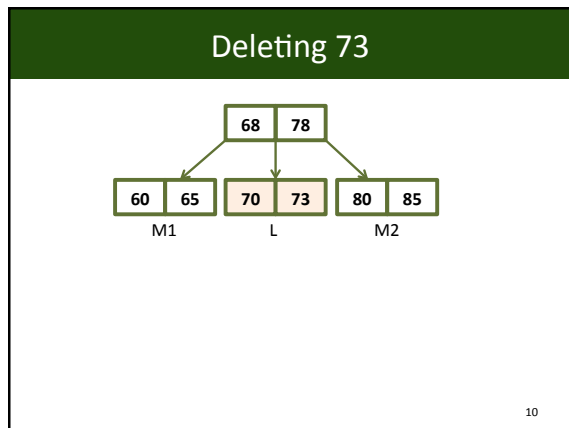
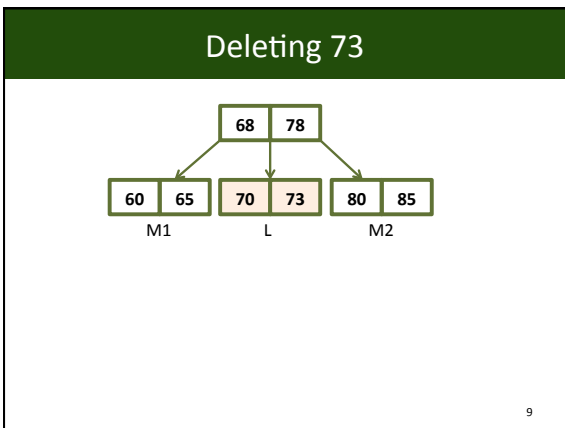
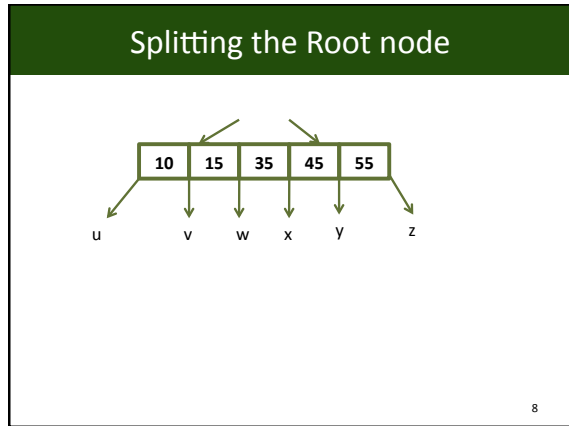
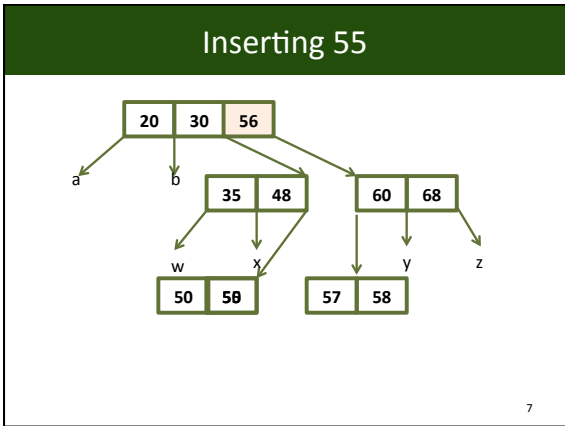
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Inserting 55

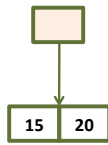
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Inserting 55

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Remove empty root



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Outline

- B-Trees
- **External Methods**

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Why external storage?

- External storage is for reading and writing data to a file.
- Data stored in a file exists beyond the execution period of a software.
- More scalable than internal memory.

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Physical Storage Media (1/3)

- Cache-fastest and most costly form of storage; volatile; managed by the hardware/operating system.
- Main memory:
 - general-purpose machine instructions operate on data resident in main memory
 - fast access, but generally too small to store the entire dataset
 - sometimes referred to as **core** memory
 - volatile — contents of main memory are usually lost if a power failure or system crash occurs

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Physical Storage Media (2/3)

- Optical storage – non-volatile. CD-ROM most popular form.
- Write-once, read-many (WORM) optical disks used for archival storage.
- Tape storage – non-volatile, used primarily for backup (to recover from disk failure), and for archival data
 - **sequential-access** – much slower than disk
 - very high capacity
 - tape can be removed from drive ⇒ storage costs much cheaper than disk

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Physical Storage Media (3/3)



Records, Blocks, and Files

- The records of a file are organized into one or more blocks
 - Hardware configuration and the system software of the computer determine the size of the block
- Random accessing file
 - A program can read/write a given block from the file by specifying its block number
 - Reading or writing happens in the block level (not a records)
- Sequential accessing file
 - Records are accessed in a sequential order

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Sequential Access Vs. Random Access

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Using B-Trees to organize blocks of an external file

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Indexing data blocks

- Organize blocks of an external file into a tree structure
 - Use block numbers for child pointers
- Contains up-to three child points (for 2-3 tree)
 - For the null pointer, a child pointer value uses -1

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A single node of the 2-3 tree

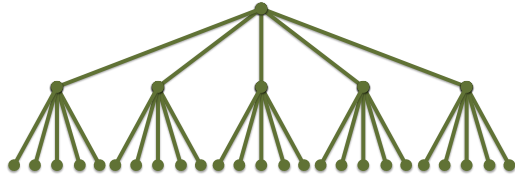
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How many children the nodes of the search tree can have?

- How big can m be?
- Each node must be large enough to accommodate m child pointers and m-1 records of the form <key, pointer>
- M should be the largest possible integer
 - M child pointers, and m-1 records can fit into a single block of the file

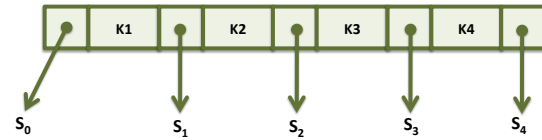
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A full tree whose internal nodes have five children



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The format of a single node



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Insertion into a B-tree

1. Insert the data record into the data file
 - Find block p in the data file into which you can insert the new record
 - Block p is either any block with a vacant slot or a new block
2. Insert a corresponding index record into the index file

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Deletion from a B-tree

1. Locate the index record in the ***index file***
2. Delete the data record from the ***data file***

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Midterm Exam II

