

CSX55: DISTRIBUTED SYSTEMS [THREADS]

The Tangible Lock

Have you a synchronized method?
The acquisition's implicit
With the lock hiding in plain sight

Care for the lock to be tactile?
Use the `Lock` instead
But with responsibilities galore

A recourse when drowning in bugs?
Tread carefully with how you `lock()` and `unlock()`
and ... reckon with them exceptions

Shrideep Pallickara
Computer Science
Colorado State University

COMPUTER SCIENCE DEPARTMENT



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Frequently asked questions from the previous class survey

- Does `InterruptedException` help with memory visibility like `volatile`?
- Are referenced objects flushed before lock acquisition?
- If the `isInterrupted()` passes the check just before the first statement of the loop, it completes the body?
- How many threads can acquire the static synchronized class lock?
- If you have ZERO blocking calls (with prolonged waiting durations) do you need to interrupt?
- Does the interrupt "detect" blocking calls?



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Topics covered in this lecture

- Locks
- Notifications
- Wait-notify



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SYNCHRONIZED METHODS & LOCKS

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Synchronizing methods

- ❑ **Not possible** to execute the same method in one thread while ...
 - ❑ Method is running in another thread
- ❑ If two different synchronized methods in an object are called?
 - ❑ They both require the lock of the same object
- ❑ Two or more synchronized methods of the same object *can never run in parallel* in separate threads



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A lock is based on a specific instance of an object

- ❑ Not on a particular method or class
- ❑ Suppose we have 2 objects: `objectA` and `objectB` with synchronized methods `modifyData()` and `utilizeData()`
- ❑ One thread can execute `objectA.modifyData()` while another executes `objectB.utilizeData()` *in parallel*
 - ❑ Two different locks are grabbed by two different threads
 - ❑ No need for threads to wait for each other



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How does a synchronized method behave in conjunction with an unsynchronized one?

- Synchronized methods try to grab the object lock
 - ▣ Only 1 synchronized method in an object can run at a time ... *provides data protection*
- Unsynchronized methods
 - ▣ Don't grab the object lock
 - ▣ Can *execute at any time ... by any thread*
 - Regardless of whether a synchronized method is running



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For a given object, at any time ...

- **Any number** of *unsynchronized methods* may be executing
- But only **1 synchronized method** can execute



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Synchronizing static methods

- A lock can be obtained for each class
 - ▣ The **class lock**
- The class lock is the *object lock* of the **Class object** that models the class
 - ▣ There is only 1 `Class` object per class
 - ▣ Allows us to achieve synchronization for static methods



Object locks and class locks

- Are **not operationally related**
- The class lock can be grabbed and released *independently* of the object lock
- If a non-static synchronized method calls a static synchronized method?
 - ▣ It acquires both locks



Empty stares, from each corner of a shared prison cell
One just escapes, one's left inside the well
And he who forgets, will be destined to remember

Nothingman, Eddie Vedder & Jeffrey Ament, Pearl Jam

EXPLICIT LOCKING

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The synchronized keyword

- *Serializes accesses* to synchronized methods in an object
- Not suitable for *controlling lock scope* in certain situations
- Can be *too primitive* in some cases



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Many synchronization schemes in J2SE 5.0 onwards implement the `Lock` interface

- Two important methods
 - ▣ `lock()` and `unlock()`
- Similar to using the synchronized keyword
 - ▣ Call `lock()` at the start of the method
 - ▣ Call `unlock()` at the end of the method
- Difference: we have an *actual object* that **represents** the lock
 - ▣ Store, pass around, or discard



Semantics of the using Lock

- If another thread **owns** the lock
 - ▣ Thread that attempts to acquire the lock must wait until the other thread calls `unlock()`
- Once the waiting thread acquires the lock, it returns from the `lock()` method



Using the Lock interface

```
public class DataOpertor {  
    private Lock dataLock = new ReentrantLock();  
    public void  
        modifyData(byte[] newValues, int newPosition) {  
        try {  
            dataLock.lock();  
            ... Modify values and position  
        } finally {  
            dataLock.unlock();  
        }  
    }  
  
    public void utilizeDataAndPerformFunction() {  
        try {  
            dataLock.lock();  
            ... Use values and position  
        } finally {  
            dataLock.unlock();  
        }  
    }  
}
```



Advantages of using the Lock interface

- Grab and release locks *whenever* we want
- Now possible for **two objects to share the same lock**
 - ▣ Lock is no longer attached to the object whose method is being called
- Can be *attached to data, groups of data*, etc.
 - ▣ Not objects containing the executing methods



Advantages of explicit locking

- We can move them anywhere to **adjust lock scope**
 - ▣ Can span from a line of code to a scope that encompasses multiple methods and objects
- Lock at scope *specific to problem*
 - ▣ Not just the object



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SYNCHRONIZED BLOCKS

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Much of what we accomplish with the `Lock` we can do so with the `synchronized` keyword

```
public class DataOperator {  
  
    public void  
        modifyData(byte[] newValues, int newPosition) {  
        synchronized(this) {  
            ... Modify values and position  
        }  
    }  
  
    public void utilizeDataAndPerformFunction() {  
        synchronized(this) {  
            ... Use values and position  
        }  
    }  
}
```



Synchronized methods vs. Synchronized Blocks

- Possible to use only the **synchronized block** mechanism to synchronize whole method
- You decide when it's best to synchronize a block of code or the whole method
- RULE: **Establish as small a lock scope as possible**



The Lock interface [java.util.concurrent.locks]

```
public interface Lock {  
  
    public void lock();  
  
    public void lockInterruptibly()  
                throws InterruptedException;  
  
    public boolean tryLock();  
    public boolean tryLock(long time, TimeUnit unit)  
                throws InterruptedException;  
  
    public void unlock();  
  
    public Condition newCondition();  
}
```




Lock Fairness

- ReentrantLock allows locks to be granted **fairly**
 - ▣ Locks are granted as close to arrival order as possible
 - ▣ Prevents *lock starvation* from happening


- Possibilities for granting locks
 - ① First-come-first-served
 - ② Allows servicing the maximum number of requests
 - ③ Do what's best for the platform





THREAD NOTIFICATIONS

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Objects and communications

- Every object has a lock
- Every object also includes mechanisms that allow it to be a **waiting area**
 - Allows *communication* between threads



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Conditions

- One thread needs a **condition** to exist
 - Assumes another thread will **create** that condition
- When another thread creates the condition?
 - It **notifies** the first thread that has been **waiting** for that condition



wait(), notify() and the Object class

```
public class Object {  
    public void wait();  
    public void wait(long timeout);  
    public void notify();  
}
```



`wait()`, `notify()` and the Object class

- Wait-and-notify mechanisms are available for every object
 - Accomplished by **method invocations**
- Synchronized mechanism is handled by using a *keyword*



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Wait-and-notify relate to synchronization, but ...

- It is more of a **communications mechanism**
- Allows one thread to communicate to another that a **condition** has occurred
 - Does not specify *what* that specific condition is



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Can wait-and-notify replace the synchronized mechanism?

- No
- Does not solve the race condition that the synchronized mechanism solves
- Must be used in **conjunction** with the synchronized lock
 - Prevents race condition that exists in the wait-notify mechanism itself



A code snippet that uses wait-notify to control the execution of the thread

```
public class Tester implements Runnable {  
  
    private boolean done = true;  
  
    public synchronized run() {  
        while (true) {  
            if (done) wait();  
            else { ... Logic ... wait(100);}  
        }  
    }  
  
    public synchronized void setDone(boolean b) {  
        done = b;  
        if (!done) notify();  
    }  
}
```



About the `wait()` method

- When `wait()` executes, the synchronization lock is *released*
 - ▣ By the JVM

- When a notification is received?
 - ▣ The thread needs to *reacquire* the synchronization lock before returning from `wait()`



Integration of wait-notify and synchronization

- **Tightly integrated** with the synchronization lock
 - ▣ Feature not directly available to us
 - ▣ Not possible to implement this: native method

- This is typical of approach in other libraries
 - ▣ *Condition variables* for Solaris and POSIX threads require that a mutex lock be held



Details of the race condition in the wait-notify mechanism

- The first thread *tests the condition* and confirms that it must wait
- The second thread *sets the condition*
- The second thread calls `notify()`
 - ▣ This **goes unheard** because the first thread is not yet waiting
- The first thread calls `wait()`



How does the potential race condition get resolved?

- To call `wait()` or `notify()`
 - ▣ Obtain lock for the object on which this is being invoked
- It seems as if the lock has been held for the entire `wait()` invocation, but ...
 - ① `wait()` *releases lock prior to waiting*
 - ② *Reacquires the lock just before returning from* `wait()`



Is there a race condition during the time `wait()` releases and reacquires the lock?

- `wait()` is **tightly integrated** with the lock mechanism
- Object lock is **not freed until** the waiting thread is in a *state in which it can receive notifications*
 - System prevents race conditions from occurring here



If a thread receives a notification, is it guaranteed that condition is set?

- No
- *Prior* to calling `wait()`, *test condition* while holding lock
- Upon *returning* from `wait()` *retest* condition to see if you should `wait()` again



What if `notify()` is called and no thread is waiting?

- Wait-and-notify mechanism has no knowledge about the condition about which it notifies
- If `notify()` is called when no other thread is waiting?
 - The notification is lost



What happens when more than 1 thread is waiting for a notification?

- Language specification does not define which thread gets the notification
 - Based on JVM implementation, scheduling and timing issues
- *No way to determine* which thread will get the notification



```
notifyAll()
```

- All threads that are waiting on an object are notified
- When threads receive this, they must work out
 - ① Which thread should continue
 - ② Which thread(s) should call `wait()` again
 - All threads wake up, but they **still have to reacquire the object lock**
 - Must wait for the lock to be freed



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The contents of this slide-set are based on the following references

- *Java Threads. Scott Oaks and Henry Wong. . 3rd Edition. O'Reilly Press. ISBN: 0-596-00782-5/978-0-596-00782-9. [Chapters 3, 4]*



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