# Socket Data structures and How the TCP protocol works

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**Data structures for TCP socket** 

**Connection Establishment** (Client-side)

**Socket setup** (Server-side)

**Closing TCP Connection** 

#### **Socket structure** (TCP socket)



- Data structure associate with each socket
- Programs refer to data structures using <u>descriptor</u> returned by socket servSock= socket(PF\_INET, SOCK\_STREAM, IPPROTO\_TCP))
- More than one descriptor can refer to the same socket structure

- 1. Connection Establishment (Client-side)
  - When the client creates a new socket (*socket()*), it is in the closed state:



• When the client calls *connect()* with port number (Q) and IP address (W.X.Y.Z), the system fills in the four fields in the *socket structure*.

Fill in local address; send	Connecting		But we did not assign any Local port or Local IP
request to	Local port	Р	
server	Local IP	A.B.C.D	
	Remote port	Q	
	Remote IP	W.X.Y.Z	

#### 1. Connection Establishment (Client-side)

- TCP opens three-way handshake
  - 1. Connection request from the client to the server
  - 2. Acknowledgment from server to client
  - 3. Another Acknowledgment from client to server
- The client considers the connection as established when it received AK from the server.



#### • The whole process (client-side)



#### Note:

- If there is no acknowledgement received from the server, client times out and gives up.
- This may take order of minutes

#### 2. Socket setup (Server-side)

• This step is similar as in the client when the *socket()* function is called



Using the *bind()* function the server needs to bind to port number and IP address known to the client.



#### 2. Socket setup (Server-side)

• When the server calls *listen()* function, the state of the socket is changed to

listening (ready to accept new connection).



Any client connection request comes to server before the call to *listen()* will be rejected.

• The whole process (server-side): bind() and listen()



#### 2. Socket setup (Server-side): accept()

When the client connection request arrives, a new socket structure is created for the connection.



• The new socket state is set to *connecting* and it is added to not-quite-connected sockets.

Note: new socket port number and IP address is the same as the listening socket (Wait a minute).

#### 2. Socket setup (Server-side): accept()

• How an incoming packet can be matched to sockets in the same host which have the same local port number and IP Address?



• Example:

Packet			
Source IP Address	172.16.1.10		
Source Port	56789		
<b>Destination IP Address</b>	10.1.2.3		
Destination port	99		

- 2. Socket setup (Server-side): accept()
- When the third message (of the three handshakes messages) comes from the client, the

new socket's state is set to Established



• The original server socket does not change state



• The whole process (server-side): accept()



#### 3. Closing TCP Connection

- When one application calls *close()* before the other end closes (what?):
  - 1. TCP implementation transmits any data remaining in the SendQ
  - 2. Handshake message is sent
  - 3. Descriptor is deallocated
  - 4. The state is set to closing



• When the acknowledgment for the close handshake is received, the sate changes to *Half-Closed* (*Remains until the other end's HSM is received*).



#### 3. Closing TCP Connection

• When the other end's close handshake message is arrived, an acknowledgment is sent and sate changes to *Time-Wait*.



- Why Time-Wait state?
  - The possibility of a message being delayed in a network
  - Twice the time a packet can remain in a network.
  - It stays for anywhere from 30 second to 2 minutes

#### 3. Closing TCP Connection

- What will happen to the end point that does not close first?
- When the closing handshake message arrives:
  - 1. An acknowledgement is sent immediately
  - 2. The connection state becomes Close-Wait
  - 3. When the application calls *close()*:

Deallocate socket structure

- Descriptor is deallocated
- HSM initiated

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3. Closing TCP Connection: the whole process



# Thank You

# **Reference**

- Pocket Guide to TCP/IP Socket, by Michael J. Donahoo and Kenneth L. Calvert
- Beej's Guide to Network Programming Using Internet Sockets, by Brian "Beej" Hall. (http://www.cs.columbia.edu/~danr/courses/6761/Fall00/hw/pa1/6761-sockhelp.pdf)