

**Chomsky Normal Form (CNF)**  
is one of the most basic Normal Forms.  
In CNF each production has the form

$$A \longrightarrow BC$$

$$A \longrightarrow \alpha$$

where  $A, B, C \in V$  (i.e., nonterminals)  
and  $\alpha \in \Sigma$  (i.e., a terminal)

**Slide Lecture 10 –273**

This can be done by simple substitution.

Consider the following

$$S \longrightarrow bA$$

$$S \longrightarrow aB$$

$$A \longrightarrow bAA$$

$$A \longrightarrow aS$$

$$A \longrightarrow a$$

$$B \longrightarrow aBB$$

$$B \longrightarrow bS$$

$$B \longrightarrow b$$

**Slide Lecture 10 –274**

Replace terminals with *NEW* Nonterminals  
Plus a rule to generate the Terminal

$$C \longrightarrow a$$
$$D \longrightarrow b$$

Note these are already in standard form.

Terminals should now only appear  
in rules in CNF.

**Slide Lecture 10 –275**

Rewriting the rules yields:

$$S \longrightarrow DA$$
$$S \longrightarrow CB$$
$$A \longrightarrow DAA$$
$$A \longrightarrow CS$$
$$A \longrightarrow a$$
$$B \longrightarrow CBB$$
$$B \longrightarrow DS$$
$$B \longrightarrow b$$
$$C \longrightarrow a$$
$$D \longrightarrow b$$

**Slide Lecture 10 –276**

Only the following rules are a problem:

$$A \rightarrow DAA$$
$$B \rightarrow CBB$$

These can be rewritten as follows:

$$A \rightarrow ZA$$
$$Z \rightarrow DA$$
$$B \rightarrow KB$$
$$K \rightarrow CB$$

Now we have rules in CNF.

**Slide Lecture 10 -277**

SO WHAT?

For grammars in Chomsky Normal Form  
the parse tree is always a binary tree.

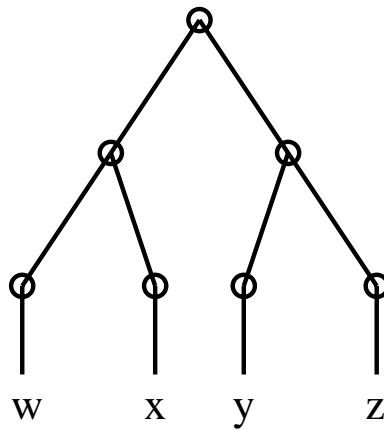
We can talk about the relationship between:

- 1) the depth of the parse tree
- and 2) the length of its yield.

**Slide Lecture 10 -278**

If a parse tree for a word  $\omega$   
is generated by a CNF **and** the parse tree  
has a path length of at most  $i$ ,  
then the length of  $\omega$  is at most  $2^{i-1}$ .

Slide Lecture 10 -279



A parse tree of depth 3 with a yield  
of at most  $2^{3-1}$ .

Slide Lecture 10 -280

### Griebach Normal Form (GNF)

In GNF each production has the form

$$A \longrightarrow aB$$

where  $A \in V, B \in V^*$

and  $a \in \Sigma$

( $a$  is a terminal,

$A$  is a nonterminal,

and  $B$  is zero or more nonterminals)

$aB$  gives us a more immediate relationship

between the input being scanned and

the string being generated.

Slide Lecture 10 –281

We will assume  $G$  is already in Chomsky Normal Form

Let  $G = (\{A_1, A_2, A_3\}, \{a, b\}, R, S)$

$$R = A_1 \longrightarrow A_2A_3$$

$$A_2 \longrightarrow A_3A_1$$

$$A_2 \longrightarrow b$$

$$A_3 \longrightarrow A_1A_2$$

$$A_3 \longrightarrow a$$

Slide Lecture 10 –282

Use the indices as an ordering over the nonterminals.

Rewrite the rules so that

$$A_i \longrightarrow A_j V^*$$

such that  $i \leq j$ .

Only this rule is not in that form:

$$A_3 \longrightarrow A_1 A_2$$

**Slide Lecture 10 –283**

Rule

$$A_3 \longrightarrow A_1 A_2$$

Becomes:

$$A_3 \longrightarrow A_2 A_3 A_2$$

Which becomes 2 rules

$$A_3 \longrightarrow b A_3 A_2$$

$$A_3 \longrightarrow A_3 A_1 A_3 A_2$$

**Slide Lecture 10 –284**

We now encounter an example of  
left recursion.  
There must also be a rule to terminate recursion

$$A_x \longrightarrow B_k$$

$$A_x \longrightarrow A_x B_y$$

Nonterminal  $A_x$  generates

$$B_k B_y \dots B_y B_y = B_k (B_y)^*$$

**Slide Lecture 10 –285**

$$B_k (B_y)^*$$

We need to move the recursion to the right,

$$A_x \longrightarrow B_k$$

$$A_x \longrightarrow B_k N_x$$

$$N_x \longrightarrow B_y N_x$$

$$N_x \longrightarrow B_y$$

Now recursion is on the right.

**Slide Lecture 10 –286**

Returning to our problem

$$A_3 \longrightarrow a$$

$$A_3 \longrightarrow bA_3A_2$$

$$A_3 \longrightarrow A_3A_1A_3A_2$$

Since there are two ways  
to terminate the recursion  
we need two rules to start recursion.

**Slide Lecture 10 –287**

$$A_3 \longrightarrow a$$

$$A_3 \longrightarrow bA_3A_2$$

$$A_3 \longrightarrow aN_3$$

$$A_3 \longrightarrow bA_3A_2N_3$$

$$N_3 \longrightarrow A_1A_3A_2N_3$$

$$N_3 \longrightarrow A_1A_3A_2$$

**Slide Lecture 10 –288**

The current set of rules:

$$A_1 \longrightarrow A_2A_3$$

$$A_2 \longrightarrow A_3A_1$$

$$A_2 \longrightarrow b$$

$$A_3 \longrightarrow bA_3A_2N_3$$

$$A_3 \longrightarrow bA_3A_2$$

$$A_3 \longrightarrow aN_3$$

$$A_3 \longrightarrow a$$

$$N_3 \longrightarrow A_1A_3A_2N_3$$

$$N_3 \longrightarrow A_1A_3A_2$$

**Slide Lecture 10 –289**

Since  $A_3$  is in the correct form,  
and all the original rules are expressed  
in terms of “higher order” rules,  
we can propagate the correct form down.

$$A_2 \longrightarrow A_3A_1$$

Becomes

$$A_2 \longrightarrow bA_3A_2N_3A_1$$

$$A_2 \longrightarrow bA_3A_2A_1$$

$$A_2 \longrightarrow aN_3A_1$$

$$A_2 \longrightarrow aA_1$$

**Slide Lecture 10 –290**

We can now do  $A_1$ .

$$A_1 \rightarrow A_2A_3$$

Becomes:

$$A_1 \rightarrow bA_3$$

$$A_1 \rightarrow bA_3A_2N_3A_1A_3$$

$$A_1 \rightarrow bA_3A_2A_1A_3$$

$$A_1 \rightarrow aN_3A_1A_3$$

$$A_1 \rightarrow aA_1A_3$$

**Slide Lecture 10 –291**

That leaves only the new nonterminal.

$$N_3 \rightarrow A_1A_3A_2N_3$$

$$N_3 \rightarrow A_1A_3A_2$$

But all the other rules have been resolved.

Since each rule goes to  $A_1$  first and  
there are now 5 rules for  $A_1$   
we get 5 new rules for each rule for  $N_3$ .

**Slide Lecture 10 –292**

$$N_3 \longrightarrow A_1 A_3 A_2 N_3$$

Becomes:

$$N_3 \longrightarrow b A_3 A_3 A_2 N_3$$

$$N_3 \longrightarrow b A_3 A_2 N_3 A_1 A_3 A_3 A_2 N_3$$

$$N_3 \longrightarrow b A_3 A_2 A_1 A_3 A_3 A_2 N_3$$

$$N_3 \longrightarrow a N_3 A_1 A_3 A_3 A_2 N_3$$

$$N_3 \longrightarrow a A_1 A_3 A_3 A_2 N_3$$

**Slide Lecture 10 -293**

$$N_3 \longrightarrow A_1 A_3 A_2$$

Becomes:

$$N_3 \longrightarrow b A_3 A_3 A_2$$

$$N_3 \longrightarrow b A_3 A_2 N_3 A_1 A_3 A_3 A_2$$

$$N_3 \longrightarrow b A_3 A_2 A_1 A_3 A_3 A_2$$

$$N_3 \longrightarrow a N_3 A_1 A_3 A_3 A_2$$

$$N_3 \longrightarrow a A_1 A_3 A_3 A_2$$

And we are done.

**Slide Lecture 10 -294**

The textbook  
“Introduction to Automata Theory,  
Languages and Computation.”  
covers Griebach Normal Form  
page 96-97, Hopcroft and Ullman,  
1979, Addison-Wesley

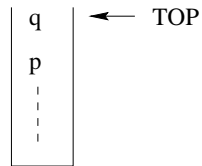
**Slide Lecture 10 –295**

Context Free Grammars require a limited form of memory.

To add memory to a finite automaton  
and produce a simple yet more general machine,  
we add a **pushdown stack**

A pushdown stack is a LIFO queue  
which is operated by the primitives  
“push” and “pop”.

**Slide Lecture 10 –296**

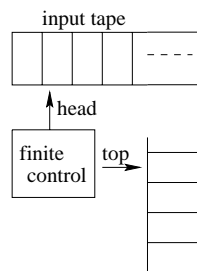


The depth of the stack is assumed always to be “sufficient”  
(virtually infinite).

This is a “one stack automaton”.

Adding  $t$  or more stacks results in distinct classes of automata.

Slide Lecture 10 –297



Slide Lecture 10 –298

Formally, a pushdown automaton is a 6-tuple

$$M = (K, \Sigma, T, \Delta, S, F)$$

$K$  set of states

$\Sigma$  input alphabet

$T$  stack alphabet

$S$  initial state

$F$  set of final states

$\Delta$  the transition function

a subset of  $(K \times \Sigma^* \times T^*) \times (K \times T^*)$

$K$  ... current state

$\Sigma^*$  ... input sequence

$T^*$  ... stack sequence

**Slide Lecture 10 –299**

$\Delta$  maps triples composed of

- a current state
- a sequence of input symbols and
- the top elements of the stack

**TO**

- a new state and
- new stack contents

**Slide Lecture 10 –300**

$((p,$	$\mu,$	$\beta)$	$(q,$	$\gamma))$
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$
state 1	input	top of	next	new
		stack ‡	state	stack
				symbol
				in old
				position ‡

‡: we will allow  $\lambda$  here

push (x)       $((p, \mu, \lambda), (q, x))$

pop (x)       $((p, \mu, x), (q, \lambda))$

**Slide Lecture 10 –301**

### Configurations

1. current state
2. unscanned portion of the input string
3. contents of the stack

$(K, \Sigma^*, T^*)$

$$(s, \omega, \lambda) \stackrel{*}{\vdash} (f, \lambda, \lambda)$$

for some state  $f \in F$

This implies computations  $C_0 \stackrel{\vdash}{\vdash} C_1 \stackrel{\vdash}{\vdash} \dots \stackrel{\vdash}{\vdash} C_n$

where  $C_0 = (s, \omega, \lambda), C_n = (f, \lambda, \lambda)$

**Slide Lecture 10 –302**

Actually we can define acceptance in two ways:

- “empty store” ... all input scanned, stack empty
- designate a set of final states

We can show these are equivalent.

**Slide Lecture 10 –303**

Example 1.

$$\begin{aligned} L &= \{ \omega c \omega^R \mid \omega \in (a, b)^*, c = "c", \\ &\quad \omega^R \text{ is the reverse of } \omega \} \\ \Sigma &= \{ a, b, c \} \\ &\quad abcb \in L, acb \notin L \end{aligned}$$

**Slide Lecture 10 –304**

$M = (K, \Sigma, T, \Delta, S, F)$  where

$K = \{s, f\}$

$\Sigma = \{a, b, c\}$

$T = \{a, b\}$

$S = \{s\}$

$F = \{f\}$

Slide Lecture 10 –305

$\Delta \quad \{(S, a, \lambda), (S, a)\} \quad (1) \quad \text{scan and push a}$

$((S, b, \lambda), (S, b)) \quad (2) \quad \text{scan and push b}$

$((S, c, \lambda), (f, \lambda)) \quad (3)$

$((f, a, a), (f, \lambda)) \quad (4)$

$((f, b, b), (f, \lambda)) \quad (5)$

Note: this automaton accepts by “empty store”. Being in  $f$ , in this case, is **not** sufficient.

Slide Lecture 10 –306

Example of operation of M:

$\omega = abcba$

State	Unread Input	Stack	Transition Used
S	abcba	$\lambda$	-
S	bcba	a	1
S	cba	ba	2
f	ba	ba	3
f	a	a	5
f	$\lambda$	$\lambda$	4

$L = \omega c \omega^R$  is easy.

Slide Lecture 10 –307

What about  $L = \omega \omega^R$  ?

When do we start popping instead of pushing?

A nondeterminism!

$$\begin{aligned}
 L &= \{\omega \omega^* \mid \omega \in \{a, b\}^*\} \\
 M' &= (K, \Sigma, T, \Delta, S, F) \\
 K &= (S, F) \\
 \Sigma &= \{a, b\} \\
 T &= \{a, b\} \\
 F &= \{f\}
 \end{aligned}$$

Slide Lecture 10 –308

$$\Delta = \{(S, a, \lambda), (S, a)\} \quad (1)$$

$$\{(S, b, \lambda), (S, b)\} \quad (2)$$

$$\{(S, \lambda, \lambda), (f, \lambda)\} \quad (3)$$

$$\{(f, a, a), (f, \lambda)\} \quad (4)$$

$$\{(f, b, b), (f, \lambda)\} \quad (5)$$

Consider  $((s, \lambda, \lambda), (f, \lambda))$

Don't Push, Don't Pop, Don't Scan.

This is clearly nondeterministic. Again accepts by empty store.

**Slide Lecture 10 –309**

What about the grammar for L?

$G = (V, \Sigma, R, S)$  where

$$V = \{S\} \cup \Sigma$$

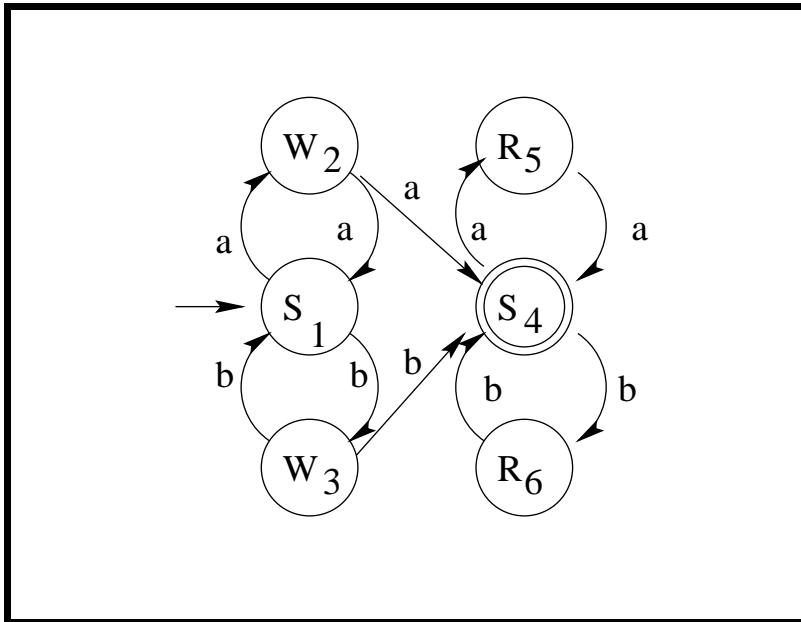
$$\Sigma = \{a, b\}$$

$$R = \{S \rightarrow aSa$$

$$S \rightarrow bSb$$

$$S \rightarrow \lambda\}$$

**Slide Lecture 10 –310**



Slide Lecture 10 –311

- Program [finite control]
- 1) scan (a, 2) (b, 3)
  - 2) write (a, 1) (a, 4)
  - 3) write (b, 3) (b, 4)
  - 4) scan (a, 5) (b, 6)
  - 5) read (a, 4)
  - 6) read (b, 4)

Slide Lecture 10 –312