



## Sets and Functions (Rosen, Sections 2.1, 2.2, 2.3)

### TOPICS

- Discrete math
- Set Definition
- Set Operations
- Tuples



## Why Study Discrete Math?

- Digital computers are based on discrete units of data (bits).
- Therefore, both a computer's
  - structure (circuits) and
  - operations (execution of algorithms)can be described by discrete math
- A generally useful tool for rational thought! Prove your arguments.



## What is 'discrete'?

- Consisting of distinct or unconnected elements, not continuous (calculus)
- Helps us in Computer Science:
  - What is the probability of winning the lottery?
  - How many valid Internet address are there?
  - How can we identify spam e-mail messages?
  - How many ways are there to choose a valid password on our computer system?
  - How many steps are need to sort a list using a given method?
  - How can we prove our algorithm is more efficient than another?



## Uses for Discrete Math in Computer Science

- Advanced algorithms & data structures
- Programming language compilers & interpreters.
- Computer networks
- Operating systems
- Computer architecture
- Database management systems
- Cryptography
- Error correction codes
- Graphics & animation algorithms, game engines, etc....
- *i.e.*, the whole field!



## What is a set?

- An *unordered collection of objects*
  - $\{1, 2, 3\} = \{3, 2, 1\}$  since sets are unordered.
  - $\{a, b, c\} = \{b, c, a\} = \{c, b, a\} = \{c, a, b\} = \{a, c, b\}$
  - $\{2\}$
  - $\{\text{on, off}\}$
  - $\{\}$



## What is a set?

- Objects are called *elements* or *members* of the set
- Notation  $\in$ 
  - $a \in B$  means "a is an element of set B."
  - Lower case letters for elements in the set
  - Upper case letters for sets
  - If  $A = \{1, 2, 3, 4, 5\}$  and  $x \in A$ , what are the possible values of x?



## What is a set?

- **Infinite Sets** (*without end, unending*)
  - $N = \{0, 1, 2, 3, \dots\}$  is the Set of natural numbers
  - $Z = \{\dots, -2, -1, 0, 1, 2, \dots\}$  is the Set of integers
  - $Z^+ = \{1, 2, 3, \dots\}$  is the Set of positive integers
- **Finite Sets** (*limited number of elements*)
  - $V = \{a, e, i, o, u\}$  is the Set of vowels
  - $O = \{1, 3, 5, 7, 9\}$  is the Set of odd #'s  $< 10$
  - $F = \{a, 2, \text{Fred, New Jersey}\}$
  - Boolean data type used frequently in programming
    - $B = \{0, 1\}$
    - $B = \{\text{false, true}\}$
  - Seasons =  $\{\text{spring, summer, fall, winter}\}$
  - ClassLevel =  $\{\text{Freshman, Sophomore, Junior, Senior}\}$



## What is a set?

- **Infinite vs. finite**
  - If finite, then the number of elements is called the *cardinality*, denoted  $|S|$ 
    - $V = \{a, e, i, o, u\}$       $|V| = 5$
    - $F = \{1, 2, 3\}$       $|F| = 3$
    - $B = \{0, 1\}$       $|B| = 2$
    - $S = \{\text{spring, summer, fall, winter}\}$       $|S| = 4$



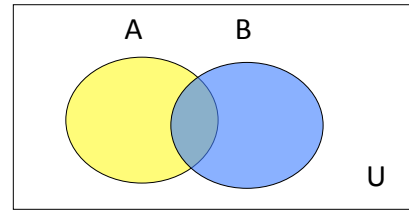
## Example sets

- Alphabet
- All characters
- Booleans: true, false
- Numbers:
  - $N = \{0, 1, 2, 3, \dots\}$  Natural numbers
  - $Z = \{\dots, -2, -1, 0, 1, 2, \dots\}$  Integers
  - $Q = \{p/q \mid p \in Z, q \in Z, q \neq 0\}$  Rationals
  - $R$ , Real Numbers
- Note that:
  - $Q$  and  $R$  are not the same.  $Q$  is a *subset* of  $R$ .
  - $N$  is a subset of  $Z$ .



## Venn Diagram

- Graphical representation of set relations:



## What is a set?

- Defining a set:
  - Option 1: List the members
  - Option 2; Use a set builder that defines set of  $x$  that hold a certain characteristic
  - Notation:  $\{x \in S \mid \text{characteristic of } x\}$
  - Examples:
    - $A = \{x \in Z^+ \mid x \text{ is prime}\}$  – set of all prime positive integers
    - $O = \{x \in N \mid x \text{ is odd and } x < 10000\}$  – set of odd natural numbers less than 10000



## Equality

- Two sets are *equal* if and only if (iff) they have the same elements.
- We write  $A=B$  when for all elements  $x$ ,  $x$  is a member of the set  $A$  iff  $x$  is also a member of  $B$ .
  - Notation:  $\forall x \{x \in A \leftrightarrow x \in B\}$
  - For all values of  $x$ ,  $x$  is an element of  $A$  if and only if  $x$  is an element of  $B$



## Set Operations

- Operations that take as input sets and have as output sets
- Operation1: *Union*
  - The union of the sets  $A$  and  $B$  is the set that contains those elements that are either in  $A$  or in  $B$ , or in both.
  - Notation:  $A \cup B$
  - Example: union of  $\{1,2,3\}$  and  $\{1,3,5\}$  is?

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## Operation 2: Intersection

- The intersection of sets  $A$  and  $B$  is the set containing those elements in both  $A$  and  $B$ .
- Notation:  $A \cap B$
- Example:  $\{1,2,3\}$  intersection  $\{1,3,5\}$  is?
- The sets are disjoint if their intersection produces the empty set.

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## Operation3: Difference

- The difference of  $A$  and  $B$  is the set containing those elements that are in  $A$  but not in  $B$ .
- Notation:  $A - B$
- Aka the complement of  $B$  with respect to  $A$
- Example:  $\{1,2,3\}$  difference  $\{1,3,5\}$  is?
- Can you define Difference using union, complement and intersection?

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## Operation3: Complement

- The complement of set  $A$  is the complement of  $A$  with respect to  $U$ , the universal set.
- Notation:  $\overline{A}$
- Example: If  $\mathbb{N}$  is the universal set, what is the complement of  $\{1,3,5\}$ ?  
**Answer:  $\{0, 2, 4, 6, 7, 8, \dots\}$**

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## Identities

Identity	$A \cup \emptyset = A, A \cap U = A$
Commutative	$A \cup B = B \cup A, A \cap B = B \cap A$
Associative	$A \cup (B \cup C) = (A \cup B) \cup C, A \cap (B \cap C) = (A \cap B) \cap C$
Distributive	$A \cap (B \cup C) = (A \cap B) \cup (A \cap C), A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$
Complement	$A \cup \bar{A} = U, A \cap \bar{A} = \emptyset$



## Subset

- The set A is said to be a subset of B iff for all elements x of A, x is also an element of B.  
*But not necessarily the reverse...*
- Notation:  $A \subseteq B \quad \forall x \{x \in A \rightarrow x \in B\}$ 
  - Unidirectional implication
- $\{1,2,3\} \subseteq \{1,2,3\}$
- $\{1,2,3\} \subseteq \{1,2,3,4,5\}$
- What is the cardinality between sets if  $A \subseteq B$  ?

Answer:  $|A| \leq |B|$



## Subset

- **Subset** is when a set is contained in another set. Notation:  $\subseteq$
  - **Proper subset** is when A is a subset of B, but B is not a subset of A. Notation:  $\subset$ 
    - $\forall x ((x \in A) \rightarrow (x \in B)) \wedge \exists x ((x \in B) \wedge (x \notin A))$
    - All values x in set A also exist in set B
    - ... but there is at least 1 value x in B that is not in A
    - $A = \{1,2,3\}, B = \{1,2,3,4,5\}$
- $A \subset B$ , means that  $|A| < |B|$ .



## Empty Set

- **Empty set** has no elements and therefore is the subset of all sets.  $\{\}$  Alternate Notation:  $\emptyset$
- Is  $\emptyset \subseteq \{1,2,3\}$ ? - Yes!
- The cardinality of  $\emptyset$  is zero:  $|\emptyset| = 0$ .
- Consider the set containing the empty set:  $\{\emptyset\}$ .
- Yes, this is indeed a set:  $\emptyset \in \{\emptyset\}$  and  $\emptyset \subseteq \{\emptyset\}$ .



## Set Theory - Definitions and notation

- Quiz time:
  - $A = \{x \in \mathbb{N} \mid x \leq 2000\}$  What is  $|A| = 2001$  ?
  - $B = \{x \in \mathbb{N} \mid x \geq 2000\}$  What is  $|B| =$  Infinite!
  - Is  $\{x\} \subseteq \{x\}$ ? Yes
  - Is  $\{x\} \in \{x, \{x\}\}$ ? Yes
  - Is  $\{x\} \subseteq \{x, \{x\}\}$ ? Yes
  - Is  $\{x\} \in \{x\}$ ? No



## Powerset

- The powerset of a set is the set containing *all* the subsets of that set.
- Notation:  $\mathbf{P}(A)$  is the powerset of set A.
- Fact:  $|\mathbf{P}(A)| = 2^{|A|}$ .
- If  $A = \{x, y\}$ , then  $\mathbf{P}(A) = \{\emptyset, \{x\}, \{y\}, \{x, y\}\}$
- If  $S = \{a, b, c\}$ , what is  $\mathbf{P}(S)$ ?



## Powerset example

- Number of elements in powerset =  $2^n$  where  $n = \#$  elements in set
  - $S$  is the set  $\{a, b, c\}$ , what are all the subsets of  $S$ ?
    - $\{\}$  – the empty set
    - $\{a\}, \{b\}, \{c\}$  – one element sets
    - $\{a, b\}, \{a, c\}, \{b, c\}$  – two element sets
    - $\{a, b, c\}$  – the original set
- and hence the power set of  $S$  has  $2^3 = 8$  elements:

$\{\}, \{a\}, \{b\}, \{c\}, \{a, b\}, \{b, c\}, \{c, a\}, \{a, b, c\}$



## Example

- Consider binary numbers
  - E.g. 0101
- Let every bit position  $\{1, \dots, n\}$  be an item
  - Position  $i$  is in the set if bit  $i$  is 1
  - Position  $i$  is not in the set if bit  $i$  is 0
- What is the set of all possible  $N$ -bit numbers?
  - *The powerset of  $\{1, \dots, n\}$ .*



## Why sets?

- Programming - Recall a *class*... it is the set of all its possible objects.
- We can restrict the *type* of an object, which is the set of values it can hold.
  - Example: Data Types
    - int     set of integers (finite)
    - char    set of characters (finite)
  - Is N the same as the set of integers in a computer?



## Order Matters

- What if order matters?
  - Sets disregard ordering of elements
  - If order is important, we use *tuples*
  - If order matters, then are duplicates important too?



## Tuples

- Order matters
- Duplicates matter
- Represented with parens ( )
- Examples
  - (1, 2, 3) ≠ (3, 2, 1) ≠ (1, 1, 1, 2, 3, 3)
  - $(a_1, a_2, \dots, a_n)$



## Tuples

- The *ordered n-tuple*  $(a_1, a_2, \dots, a_n)$  is the ordered collection that has  $a_1$  as its first element  $a_2$  as its second element ... and  $a_n$  as its  $n$ th element.
- An *ordered pair* is a 2-tuple.
- Two ordered pairs (a,b) and (c,d) are equal iff  $a=c$  and  $b=d$  (e.g. *NOT* if  $a=d$  and  $b=c$ ).
- A 3-tuple is a *triple*; a 5-tuple is a *quintuple*.



## Tuples

- In programming?
  - Let's say you're working with three integer values, first is the office room # of the employee, another is the # years they've worked for the company, and the last is their ID number.
    - Given the following set {320, 13, 4392}, how many years has the employee worked for the company?
    - What if the set was {320, 13, 4392}? Doesn't {320, 13, 4392} = {320, 4392, 13} ?
    - Given the 3-tuple (320, 13, 4392) can we identify the number of years the employee worked?

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## Why?

- Because ordered n-tuples are found as lists of arguments to functions/methods in computer programming.
- Create a mouse in a position (2, 3) in a maze: **new Mouse (2, 3)**
- Can we reverse the order of the parameters?
- From Java, **Math.min (1, 2)**

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## Cartesian Product of Two Sets

- Let A and B be sets. The Cartesian Product of A and B is the set of all ordered pairs (a,b), where  $b \in B$  and  $a \in A$
- Cartesian Product is denoted  $A \times B$ .
- Example:  $A = \{1, 2\}$  and  $B = \{a, b, c\}$ . What is  $A \times B$  and  $B \times A$ ?

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## Cartesian Product

- $A = \{a, b\}$
- $B = \{1, 2, 3\}$
- $A \times B = \{(a, 1), (a, 2), (a, 3), (b, 1), (b, 2), (b, 3)\}$
- $B \times A = \{(1, a), (1, b), (2, a), (2, b), (3, a), (3, b)\}$

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## Functions in CS

- Function = mappings or transformations
- Examples

$$f(x) = x$$

$$f(x) = x + 1$$

$$f(x) = 2x$$

$$f(x) = x^2$$

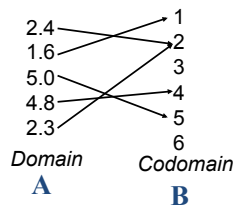
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## Function Definitions

- A function  $f$  from sets  $A$  to  $B$  assigns exactly one element of  $B$  to each element of  $A$ .
- Example: the **floor** function



What's the difference between codomain and range?

Range: {1,2,4,5}

Range contains the codomain values that A maps to

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## Function Definitions

- In Programming
  - Function header definition example

```
int floor( float real)
{
}

```

- Domain =  $\mathbb{R}$
- Codomain =  $\mathbb{Z}$

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## Other Functions

- The **identity** function,  $f_{ID}$ , on  $A$  is the function where:  $f_{ID}(x) = x$  for all  $x$  in  $A$ .

$$A = \{a, b, c\} \text{ and } f(a) = a, f(b) = b, f(c) = c$$

- **Successor function**,  $f_{succ}(x) = x+1$ , on  $\mathbb{Z}$

- $f(1) = 2$
- $f(-17) = -16$
- $f(a)$  Does NOT map to  $b$

Only works on set  $\mathbb{Z}$

- **Predecessor function**,  $f_{pred}(x) = x-1$ , on  $\mathbb{Z}$

- $f(1) = 0$
- $f(-17) = -18$

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## Other Functions

- $f_{NEG}(x) = -x$ , also on  $\mathbf{R}$  (or  $\mathbf{Z}$ ), maps a value into the negative of itself.
- $f_{SQ}(x) = x^2$ , maps a value,  $x$ , into its square,  $x^2$ .
- The **ceiling** function:  $ceil(2.4) = 3$ .



## Functions in CS

- What are ceiling and floor useful for?
  - Data stored on disk are represented as a string of bytes. Each byte = 8 bits. How many bytes are required to encode 100 bits of data?



Need smallest integer that is at least as large as  $100/8$

$100/8 = 12.5$   
But we don't work with  $\frac{1}{2}$  a byte.  
So we need 13 bytes



## What is NOT a function?

- Consider  $f_{SQRT}(x)$  from  $\mathbf{Z}$  to  $\mathbf{R}$ .
- This does **not** meet the given definition of a function, because  $f_{SQRT}(16) = \pm 4$ .
- In other words,  $f_{SQRT}(x)$  assigns exactly one element of  $\mathbf{Z}$  to two elements of  $\mathbf{R}$ .



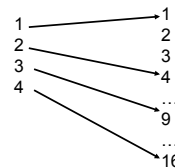
**No Way!**  
Say it ain't so!!

Note that the convention is that  $\sqrt{x}$  is always the positive value.  
 $f_{SQRT}(x) = \pm\sqrt{x}$



## 1 to 1 Functions

- A function  $f$  is said to be *one-to-one* or *injective* if and only if  $f(a) = f(b)$  implies that  $a = b$  for all  $a$  and  $b$  in the domain of  $f$ .
- Example: the **square** function from  $\mathbf{Z}^+$  to  $\mathbf{Z}^+$





## 1 to 1 Functions, cont.

- Is **square** from  $\mathbb{Z}$  to  $\mathbb{Z}$  an example?
  - **NO!**
  - Because  $f_{sq}(-2) = 4 = f_{sq}(+2)$  !
- Is **floor** an example?
  - INCONCEIVABLE!!**
- Is **identity** an example?
  - Unique at last!!**



How dare they have the same codomain!



## Increasing Functions

- A function  $f$  whose domain and co-domain are subsets of the set of real numbers is called *increasing* if  $f(x) \leq f(y)$  and *strictly increasing* if  $f(x) < f(y)$ , whenever
  - $x < y$  and
  - $x$  and  $y$  are in the domain of  $f$ .

So YES floor is an increasing function.

BUT it is NOT STRICTLY increasing.

- Is **floor** an example?

$1.5 < 1.7$  and  $\text{floor}(1.5) = 1 = \text{floor}(1.7)$   
 $1.2 < 2.2$  and  $\text{floor}(1.2) = 1 < 2 = \text{floor}(2.2)$

- Is **square** an example?

When mapping  $\mathbb{Z}$  to  $\mathbb{Z}$  or  $\mathbb{R}$  to  $\mathbb{R}$ :  
 $\text{square}(-2) = 4 > 1 = \text{square}(1)$  yet  $-2 < 1$

NO square is NOT an increasing function UNLESS...

Domain is restricted to positive #'s



## How is Increasing Useful?

- Most programs run longer with larger or more complex inputs.
- Consider the maze:
  - Larger maze *may* (in the worst case) take longer to get out.
  - Maze with more walls *may* (in the worst case) take longer to get out.
- Consider looking up a telephone number in the paper directory...



## Cartesian Products and Functions

- A function with multiple arguments maps a Cartesian product of inputs to a codomain.

- Example:

– **Math.min** maps  $\mathbb{Z} \times \mathbb{Z}$  to  $\mathbb{Z}$

`int minVal = Math.min( 23, 99 );`

Find the minimum value between two integers

– **Math.abs** maps  $\mathbb{Q}$  to  $\mathbb{Q}^+$

`int absVal = Math.abs( -23 );`

Find the absolute value of a number



## Quiz Check

- Is the following an increasing function?

$$\mathbb{Z} \rightarrow \mathbb{Z} \quad f(x) = x + 5$$

$$\mathbb{Z} \rightarrow \mathbb{Z} \quad f(x) = 3x - 1$$