



## Proof Techniques (Rosen, Sections 1.5, 1.6, 1.7)

### TOPICS

- Direct Proofs
- Proof by Contrapositive
- Proof by Contradiction
- Proof by Cases



## Proof Terminology

**Theorem:** statement that can be shown to be true

**Proof:** a valid argument that establishes the truth of a theorem

**Axioms:** statements we assume to be true

**Lemma:** a less important theorem that is helpful in the proof of other results

**Corollary:** theorem that can be established directly from a theorem that has been proved

**Conjecture:** statement that is being *proposed* to be a true statement



## Learning objectives

- Direct proofs
- Proof by contrapositive
- Proof by contradiction
- Proof by cases



## Technique #1: Direct Proof

- Direct Proof:
  - First step is to clearly state the premise
  - Subsequent steps use rules of inference or other premises
  - Last step proves the conclusion



## Direct Proof Example

- Prove “If  $n$  is an odd integer, then  $n^2$  is odd.”
  - If  $n$  is odd, then  $n = 2k+1$  for some integer  $k$ .
  - $n^2 = (2k+1)^2 = 4k^2 + 4k + 1$
  - Therefore,  $n^2 = 2(2k^2 + 2k) + 1$ , which is odd.



## More formal version...

	Step	Reason
1.	$n$ is odd	Premise
2.	$\exists k \in \mathbb{Z} \ n = 2k+1$	Def of odd integer in (1)
3.	$n^2 = (2k+1)^2$	Squaring (2)
4.	$= 4k^2 + 4k + 1$	Algebra on (3)
5.	$= 2(2k^2 + 2k) + 1$	Algebra on (4)
6.	$\therefore n^2$ is odd	Def odd int, from (5)



## Class Exercise

- Prove: If  $n$  is an even integer, then  $n^2$  is even.
  - If  $n$  is even, then  $n = 2k$  for some integer  $k$ .
  - $n^2 = (2k)^2 = 4k^2$
  - Therefore,  $n = 2(2k^2)$ , which is even.



## Can you do the formal version?

	Step	Reason
1.	$n$ is even	Premise
2.	$\exists k \in \mathbb{Z} \ n = 2k$	Def of even integer in (1)
3.	$n^2 = (2k)^2$	Squaring (2)
4.	$= 4k^2$	Algebra on (3)
5.	$= 2(2k^2)$	Algebra on (4)
6.	$\therefore n^2$ is even	Def even int, from (5)



## Technique #2: Proof by Contrapositive

- A direct proof, but starting with the contrapositive equivalence:  
 $p \rightarrow q \equiv \neg q \rightarrow \neg p$
- If you are asked to prove  $p \rightarrow q$ , ...
- ..., you instead prove  $\neg q \rightarrow \neg p$ !
- Why? Sometimes, it may be easier to directly prove  $\neg q \rightarrow \neg p$  than  $p \rightarrow q$



## Proof by Contrapositive Example

- Prove: If  $n^2$  is an even integer, then  $n$  is even.  
 $(n^2 \text{ even}) \rightarrow (n \text{ even})$
- By the contrapositive: This is the same as showing that  
 $\neg(n \text{ even}) \rightarrow \neg(n^2 \text{ even})$
- If  $n$  is odd, then  $n^2$  is odd. (proved on slides 4 and 5)
- Since we have proved the contrapositive:  
 $\neg(n \text{ even}) \rightarrow \neg(n^2 \text{ even})$
- We have also proved the original hypothesis:  
 $(n^2 \text{ even}) \rightarrow (n \text{ even})$



## Technique #3: Proof by Contradiction

Prove: If  $p$  then  $q$ .

Proof strategy:

- Assume  $p$  and the negation of  $q$ .
- In other words, assume that  $p \wedge \neg q$  is true.
- Then arrive at a contradiction  $p \wedge \neg p$  (or something that contradicts a known fact).
- Since this cannot happen, our assumption must be wrong, thus,  $\neg q$  is false.  $q$  is true.



## Proof by Contradiction Example

Prove: If  $(3n+2)$  is odd, then  $n$  is odd.

Proof:

- Given:  $(3n+2)$  is odd.
- Assume that  $n$  is not odd, that is  $n$  is even.
- If  $n$  is even, there is some integer  $k$  such that  $n=2k$ .
- $(3n+2) = (3(2k)+2)=6k+2 = 2(3k+1)$ , which is 2 times a number.
- Thus  $3n+2$  turned out to be even, but we know it's odd.
- This is a contradiction. Our assumption was wrong.
- Thus,  $n$  must be odd.



## Proof by Contradiction Example

Prove that the  $\sqrt{2}$  is irrational.

- Assume that  $\sqrt{2}$  is not irrational, i.e.  $\sqrt{2}$  is rational.
- Hence,  $\sqrt{2} = \frac{a}{b}$  and  $a$  and  $b$  have no common factors.  
(Rational definition, fraction must be in lowest terms.)
- So  $a^2 = 2b^2$  which means  $a$  is even, hence  $a = 2c$
- Therefore,  $b^2 = 2c^2$  then  $b$  must be even
- So  $a$  and  $b$  have at least the common factor 2
- Contradiction, so  $\sqrt{2}$  is irrational after all!



## Technique #4: Proof by Cases

- Given a problem of the form:
  - $(p_1 \vee p_2 \vee \dots \vee p_n) \rightarrow q$
  - where  $p_1, p_2, \dots, p_n$  are the cases
- This is equivalent to the following:
  - $[(p_1 \rightarrow q) \wedge (p_2 \rightarrow q) \wedge \dots \wedge (p_n \rightarrow q)]$
- So prove all the clauses are true.



## Proof by Cases Example

- Prove: If  $n$  is an integer, then  $n^2 \geq n$ 
  - $(n = 0 \vee n \geq 1 \vee n \leq -1) \rightarrow n^2 \geq n$
- Show for all the three cases, i.e.,
  - $(n = 0 \rightarrow n^2 \geq n) \wedge (n \geq 1 \rightarrow n^2 \geq n)$   
 $\wedge (n \leq -1 \rightarrow n^2 \geq n)$



## Proof by Cases (cont'd)

- Case 1: Show that  $n = 0 \rightarrow n^2 \geq n$ 
  - When  $n=0$ ,  $n^2=0$ .
  - $0 \geq 0$  ☺
- Case 2: Show that  $n \geq 1 \rightarrow n^2 \geq n$ 
  - Multiply both sides of the inequality by  $n$
  - We get  $n^2 \geq n$



## Proof by Cases (cont'd)

- Case 3: Show that  $n \leq -1 \rightarrow n^2 \geq n$ 
  - Given  $n \leq -1$ ,
  - We know that  $n^2$  cannot be negative, i.e.,  $n^2 > 0$
  - We know that  $0 > -1$
  - Thus,  $n^2 > -1$ . We also know that  $-1 \geq n$  (given)
  - Therefore,  $n^2 \geq n$



## Proof by Cases Can you finish this?

Theorem: Given two real numbers  $x$  and  $y$ ,

$$\text{abs}(x*y) = \text{abs}(x)*\text{abs}(y)$$

Exhaustively determine the premises

Case p1:  $x \geq 0, y \geq 0$

Proof:  $x*y \geq 0$  so  $\text{abs}(x*y) = x*y$  and  $\text{abs}(x) = x$  and  $\text{abs}(y) = y$  so  $\text{abs}(x)*\text{abs}(y) = x*y$

Case p2:  $x < 0, y \geq 0$

Case p3:  $x \geq 0, y < 0$

Case p4:  $x < 0, y < 0$