

# Divide and Conquer: Counting Inversions

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# Rank Analysis

- Collaborative filtering
  - matches your preference (books, music, movies, restaurants) with that of others
  - finds people with similar tastes
  - recommends new things to you based on purchases of these people
- The basis of collaborative filtering:  
compare the **similarity of two rankings**

# What's **similar**?

Given numbers 1 to  $n$  (the things) rank these according to your preference

- You get some permutation of  $1..n$
- Compare to someone else's permutation

## Extreme similarity

- somebody else's ranking is exactly the same

## Extreme dissimilarity

- somebody else's ranking is exactly the opposite

## In the middle:

- count the **number of out of place rankings**

# Simplify it

Count the number of **inversions** of a ranking

- $r_1, r_2, \dots, r_n$
- count the number of out of order pairs
  - $i < j \quad r_i > r_j$
- eg: 2 1 4 3 5      2 inversions: (2,1) (4,3)

Why is this synonymous with comparing two different rankings?

Because we can re-number the things, such that one of the rankings (e.g. my ranking) becomes  $1, 2, \dots, n$

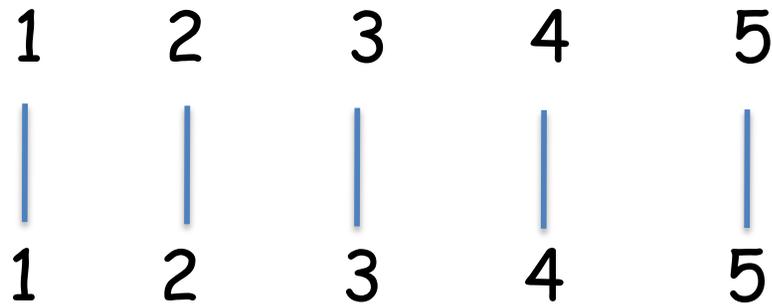
my ranking:  $1, 2, \dots, 5$     your ranking  $2, 1, 4, 3, 5$

your #1 is my #2, your #2 is my #1

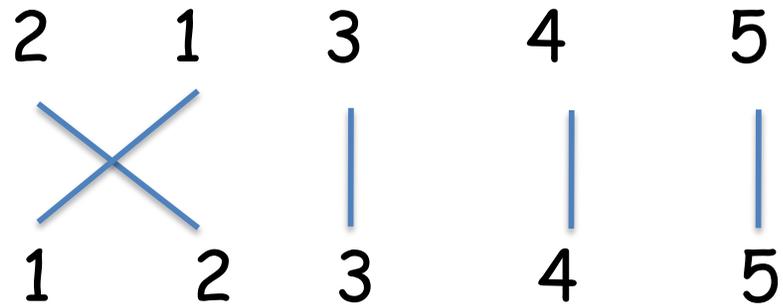
your #3 is my #4, your #4 is my #3

# Visualizing inversions

zero inversions

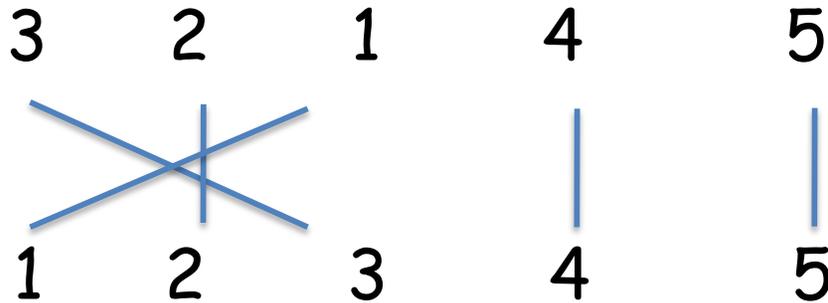


one inversion



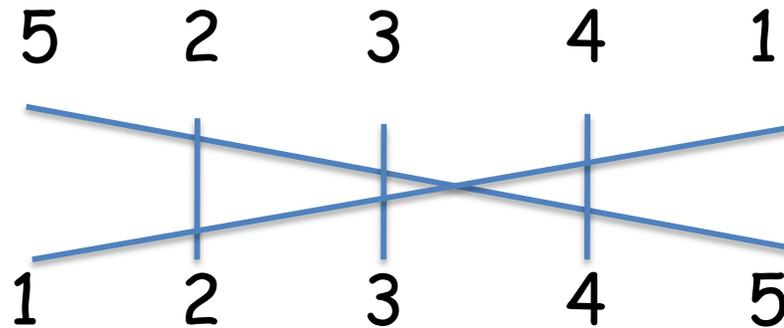
# Visualizing inversions

how many?



enumerate them

3: (3,1) (3,2) (1,2)



7: (5,2) (5,3), (5,1) (5,4)  
(1,4) (1,3) (1,2)

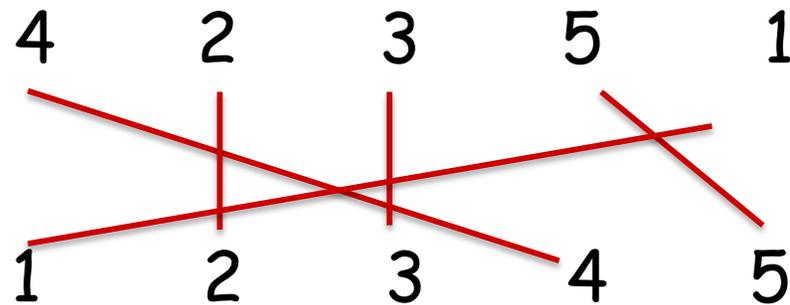
all lines crossing!

Careful: don't count inversions twice!

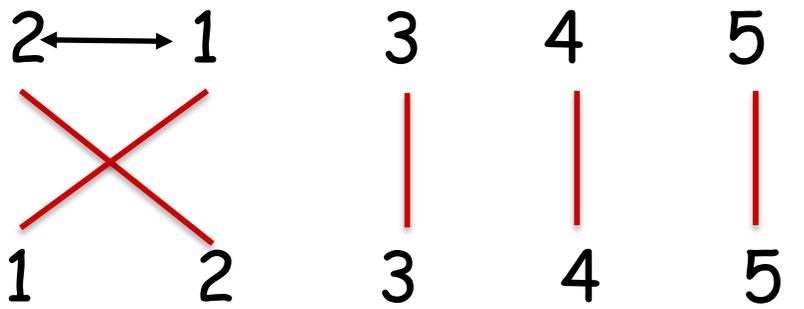
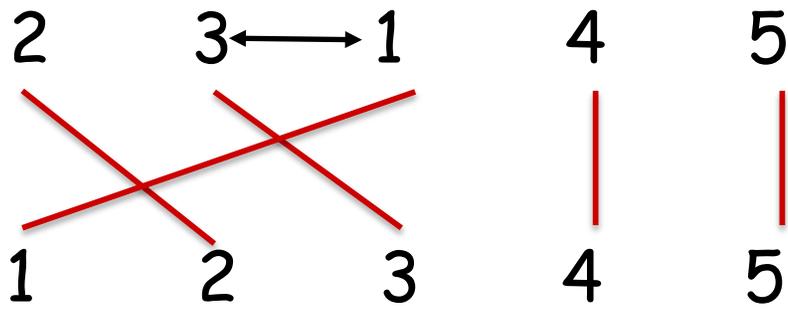
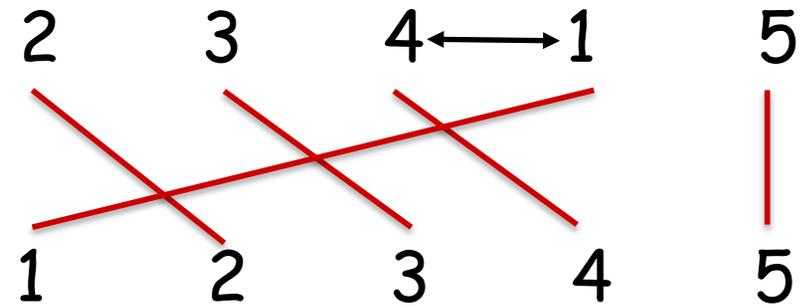
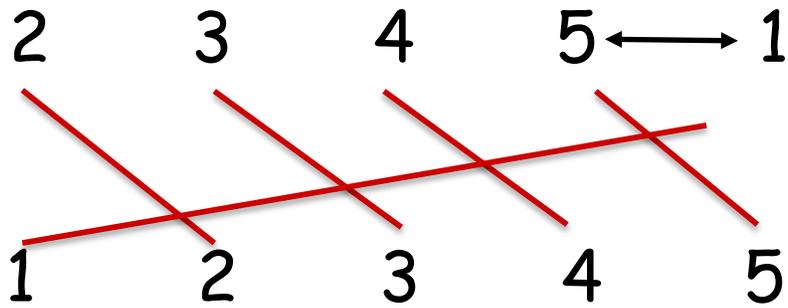
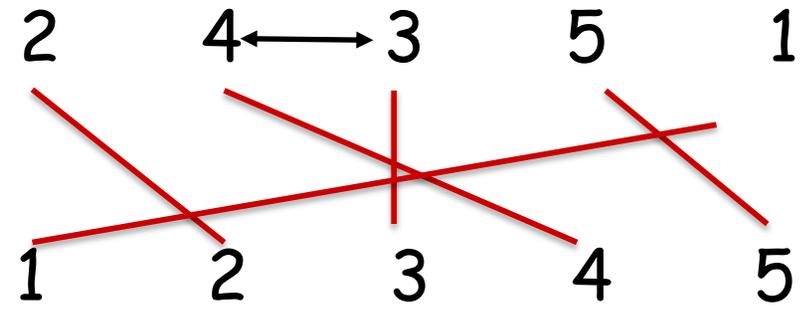
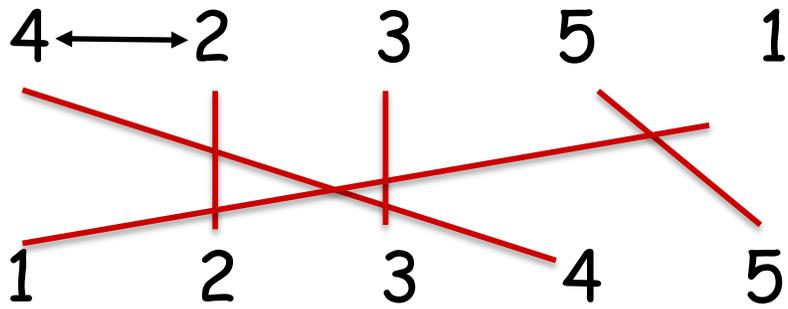
# Sort

Does Bubble sort count inversions?  
Bubble sort is  $O(n^2)$

Do it on: 4 2 3 5 1 and see what happens



Do bubble sort, show each swap, count inversions



1 2 3 4 5

**every swap takes out 1 inversion, and thus 1 line crossing**

# Can we do better?

Notice: there are potentially  $n*(n-1)/2$  inversions. **WHY?**

Reverse order, all pairs are out of orders

Bubble sort counts each individual swap = inversion. To do better we must not count each individual inversion.

Think of merge sort

- in merge sort we do not swap consecutive elements that are out of order as in bubble sort, we make larger distance swaps
- if we can merge sort and keep track of the number of inversions we may get an  $O(n \log n)$  algorithm
- Key observation: when an element from right is merged in, it "jumps" over all remaining elements of left !!

Eg: [ 4 2 3 5 1 ]

sort [ 4 2 3 5 1 ]

- **sort LEFT: [ 4 2 3 ]**
  - sort left: [ 4 2 ] → [ 2 4 ]: 1 inversion
  - sort right: [ 3 ]
  - merge(left,right) → [ 2 3 4 ] 1 inversion (3 jumps over 4)
- **sort RIGHT: [ 5 1 ]** → [ 1 5 ] 1 inversion
- **merge(LEFT,RIGHT)** → [ 1 2 3 4 5 ]  
3 inversions (1 jumps over 2,3 & 4)

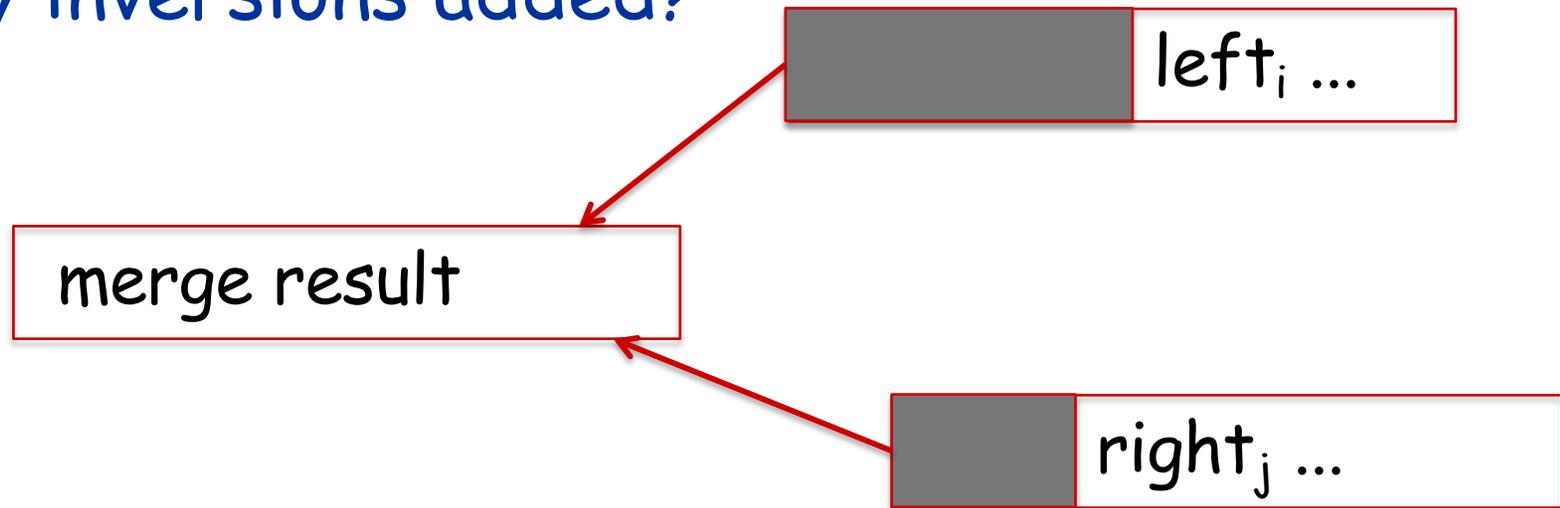
Total inversions:  $1+1+1+3=6$  (go check the visualization)

# The algorithm

While merging in merge sort keep track of the number of inversions.

When merging an element from left: no inversions added

When merging an element from right: how many inversions added?



**As many elements as are remaining in left,**  
because the element from the right jumps over  
all the remaining elements from left

# Counting Inversions: Algorithm

```
count_inversions(list)
  if list has one element
    return 0
  divide list into two halves A and B
  rA = count_inversions(A)
  rB = count_inversions(B)
  rm = merge-and-count(A, B, list)
  return rA + rB + rm

merge-and-count(L, R, list)
  count = 0
  while L and R not empty:
    put smallest of Li and Rj in list
    if Rj smallest
      add number of elements remaining in L to count
  if L or R empty:
    append the other one to list
  return count
```

# Running time

Just like merge sort, the sort and count algorithm running time satisfies:

$$T(n) = 2 T(n / 2) + cn$$

Running time is therefore  $O(n \log n)$