

CS 370: OPERATING SYSTEMS

[FILE SYSTEMS]

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Topics covered in this lecture

- File System Structure
- File System Implementation
- Virtual file systems
- Allocations
 - ▣ Contiguous allocation
 - ▣ Linked allocations
 - ▣ Indexed allocations

FILE SYSTEMS

Rationale: Applications need to store and retrieve information

- A program can store a **limited** amount of information in its own address space
- Storage capacity is **restricted** to the size of virtual memory
 - ▣ Far too small for several applications
 - Airline reservations, banking, directory services etc

Rationale: Information in the address space of process is not persistent

- When process terminates, information is lost
- For many applications information must be **retained** for a much longer time
 - ▣ Weeks, Years, Forever

Rationale: Multiple processes often need to access (parts of) information at the same time

- Storing an online telephone directory in the address space of one process?
 - ▣ Only that process can access the info
 - ▣ Only one telephone number can be looked up at a time

Essential requirements for long-term storage

- ① **Store** a very large amount of information
 - ② Information must **survive** process termination
 - ③ Multiple processes must be able to **concurrently access** the information
-
- Store info on disk or external media
 - ▣ In units called **files**

Files are an abstraction mechanism

- Provide a way to store information and read it back later
- Do this is an way that **shields** the user from
 - ▣ How and where information is stored on disk
 - ▣ How disks really work

Naming files

- Important characteristic of the abstraction mechanism
- Strings 8-255 characters long
- Most OS support two-part file names separated by a period
 - ▣ Last part referred to as the **file extension**
 - Conventions: Easy to remember
 - Enforced in some cases e.g. the compiler

Files can be structured in many ways:

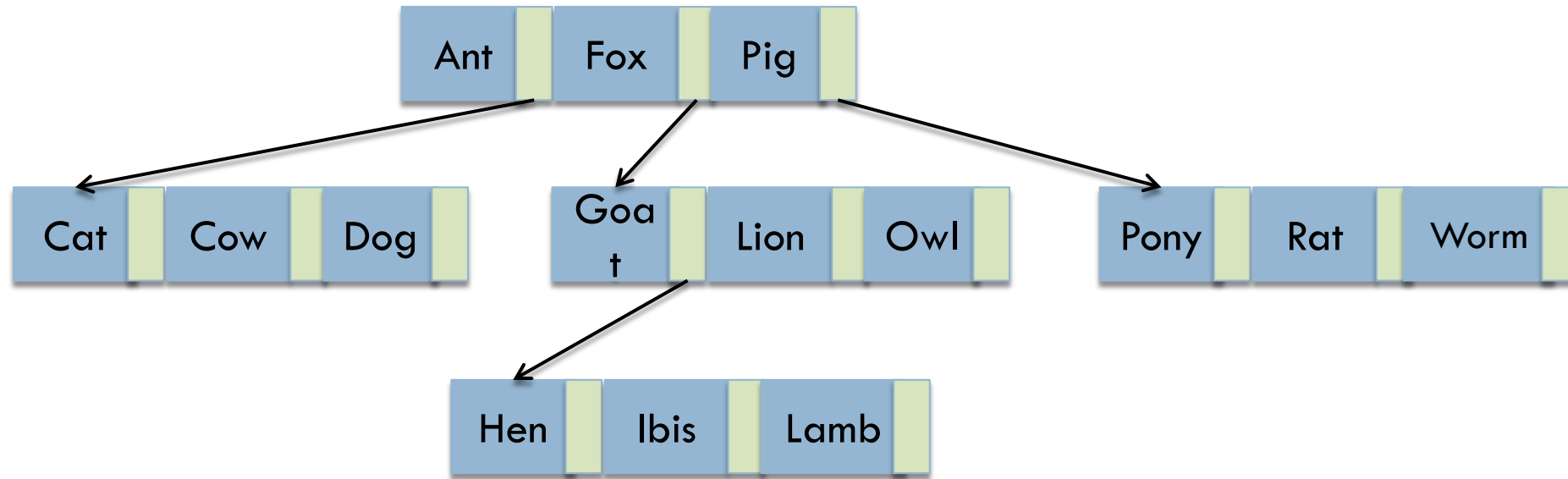
Unstructured sequence of bytes

- The OS does not know or care what is in the file
 - ▣ Maximum **flexibility**
- OS does not help, but does not get in the way either
- Meaning is imposed by programs
- Most OS support this

File Structure: A sequence of records

- A file is a sequence of **fixed-length** records
- Read operation returns one record
 - ▣ Write operation overwrites/appends one record
- 80-column punch card used to be dominant
 - ▣ Files consisted of 80 character records

File structure: A tree of records



- Get record with specific key
- OS, not user, decides where to place new records

Directory and disk structure

- Typically, there are millions of files within a computer
- Storage device can be used in its entirety for a file system
- It could also be **partitioned**
 - ▣ Limit size of individual file systems
 - ▣ Put multiple file system types
 - ▣ Set aside for **swap space**

Directories are used to organize files

- Can be viewed as a **symbol table**
- In many systems directories themselves are files
- Supported operations
 - ① Insert, delete, search, list and rename entries
 - ② Traversal

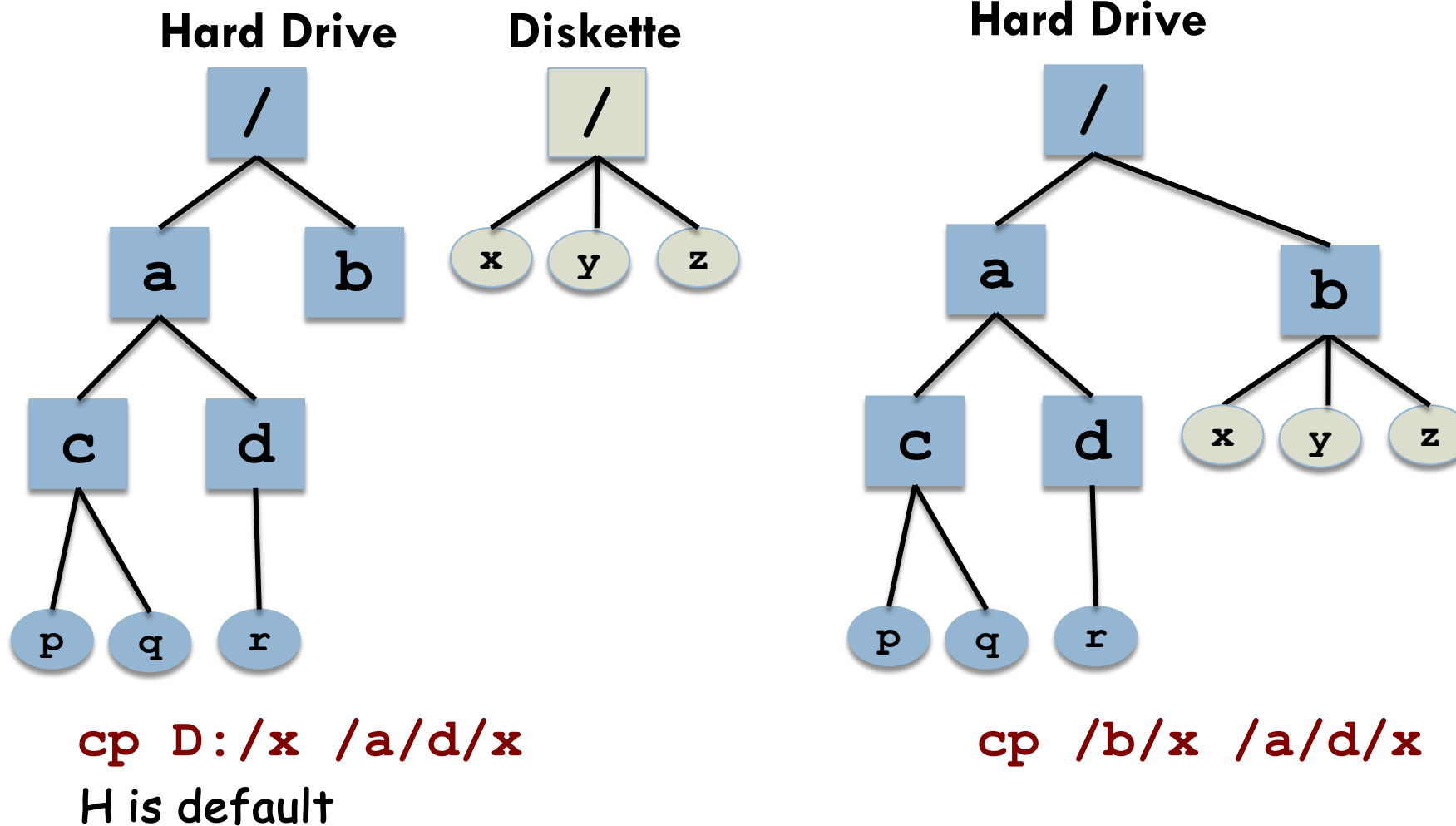
Organization of directories

- Single level directory
- Two-level directory
- Tree-structured directories
- Acyclic graph directories
 - ▣ Shared sub-directory

Mounting file systems

- Many systems have more than one disk
 - ▣ How do you handle them?
- **S1:** Keep self contained file system on each disk
 - ▣ And keep them separate
- **S2:** Allow one disk to be **mounted** in another disk's file tree

Mounting file systems



Checks performed during mounting

- OS **verifies** that the device contains a valid file system
- Read device directory
 - ▣ Make sure that the format is an expected one
- Windows mounting
 - ▣ Each device in a separate name space
 - ▣ {Letter followed by a colon e.g. **G:**}

FILE SYSTEM STRUCTURE

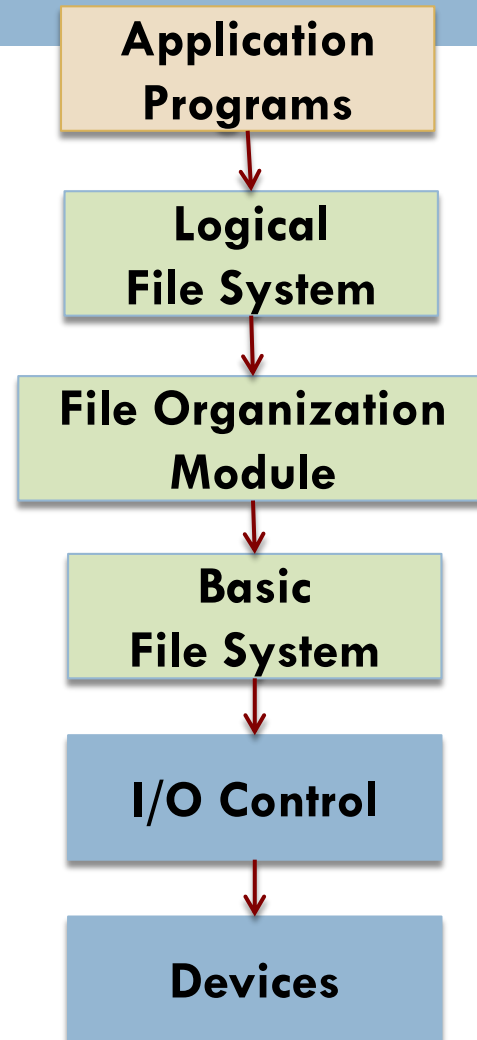
Disks provide the bulk of secondary storage

- A disk can be **rewritten** in place
 - ▣ Read, modify, and then write-back to same place
- Disks can **directly access** any block of information
- I/O transfers between memory and disk are performed in units of **blocks**

There are two core design problems in file systems

- Defining how the file system should **look** to the user
- Creating algorithms and data structures to **map** logical file system onto physical storage

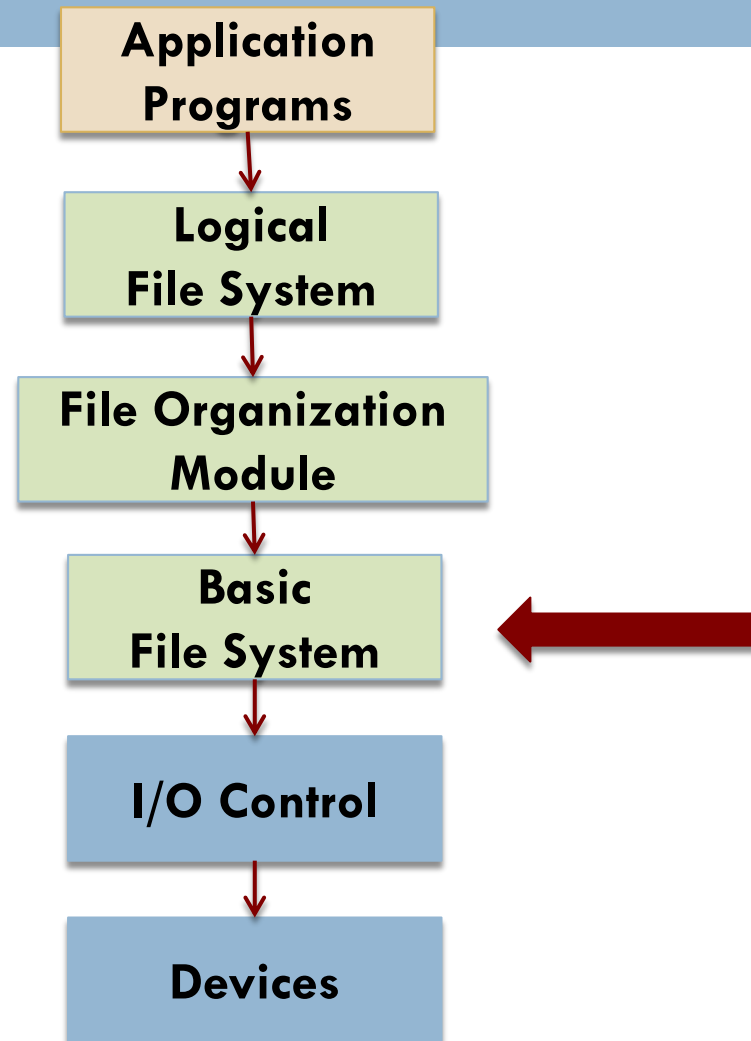
There are many levels that comprise a file system



I/O Control consists of device drivers

- Transfers information *between main memory and disk*
- Receives **high-level** commands
 - ▣ Retrieve block 123, etc
- Outputs low-level, hardware-specific instructions
 - ▣ Used by the hardware controller
 - ▣ Writes bit patterns into specific locations of the I/O controller

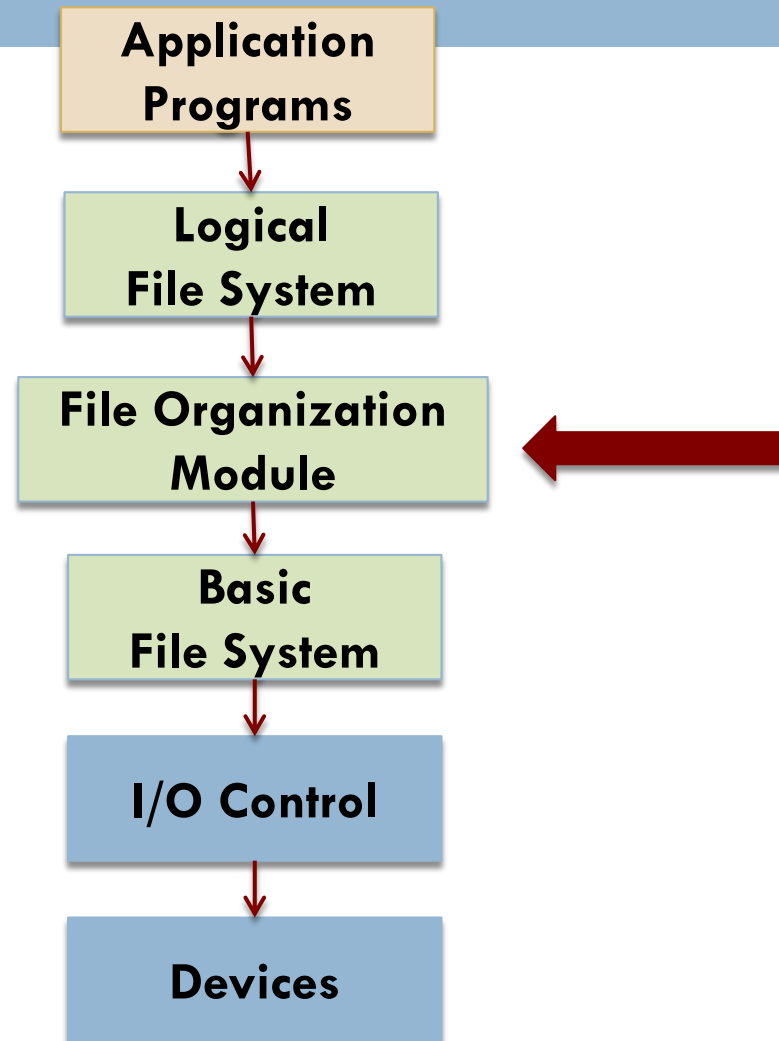
There are many levels that comprise a file system



Basic file system issues commands to the device driver

- Read and write physical blocks on disk
 - ▣ E.g. Drive 1, cylinder 73, sector 10
- Manages **buffers and caches**
 - ① To hold file system, directory and data blocks
 - ② Improves performance

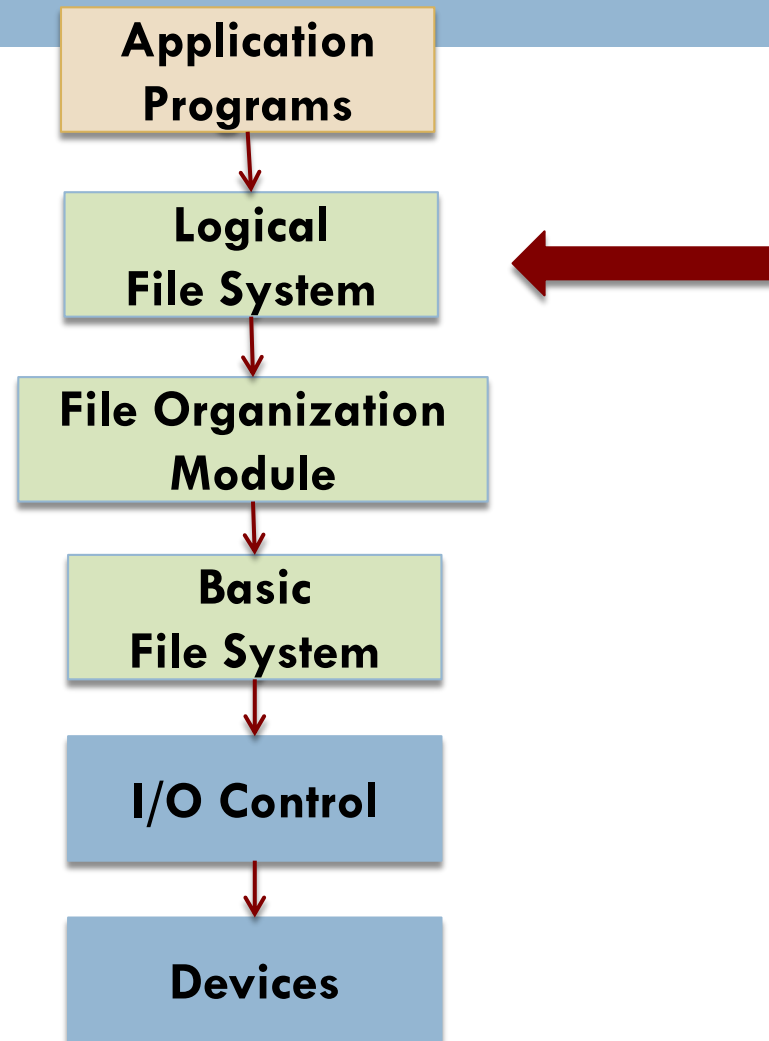
There are many levels that comprise a file system



File organization module

- Knows about files
 - ▣ Logical and physical blocks
- **Translate** logical addresses to physical ones
 - ▣ Needed for every block
- Includes a **free space manager**
 - ▣ Tracks unallocated blocks and allocates as needed

There are many levels that comprise a file system



The logical file system

- Manages **metadata** information
 - ▣ Metadata is *data describing the data*
- Maintains file structure via **file control blocks**
 - ▣ Info about the file
 - Ownership and permissions
 - Location of file contents
 - ▣ **inode** in UNIX file systems

Several file systems are in use

- CD-ROMs written in ISO 9660 format
 - ▣ Designed by CD manufacturers
- UNIX
 - ▣ Unix file system (**UFS**)
 - ▣ Berkley Fast File System (**FFS**)
- Windows: **FAT**, **FAT32** and **NTFS**
- Linux
 - ▣ Supports 40 different file systems
 - ▣ Extended file system: **ext2**, **ext3** and **ext4**

THE ANATOMY OF A DISK

Using a magnet and a nail for instant messaging? (1)

- **Message:** See you later; or not
- Drop a nail in your friend's mailbox
 - ▣ If nail is magnetized? You'll see the friend
 - ▣ If nail is not magnetized? You won't

Using a magnet and a nail for instant messaging? (2)

- Your friend comes home and picks up the nail
 - ▣ Uses the nail to pick up a paper-clip
 - If it sticks? Friend will expect to see you
- Magnetism can be used to store information!

Using magnetism to store information

- Store information even when you turn power off!
- Storing ...**10001**...?
 - ▣ Magnetize first bit
 - ▣ Demagnetize next 3
 - ▣ Magnetize the next bit

The anatomy of a disk

- A disk comprises a set of **platters**
 - ▣ These have a flat, circular shape
 - ▣ Usually made of glass or aluminum
- Both surfaces of a platter covered with **magnetic material**
 - ▣ Store information by recording it magnetically
- A platter is logically divided into circular **tracks**
 - ▣ These are subdivided into **sectors**

Rates and times associated with disks

- Rate of data movement between the disk and the memory
 - ▣ **Transfer rate**
- Positioning time
 - ▣ **Seek time**
 - Move disk arm to the necessary cylinder
 - ▣ **Rotational latency**
 - Time for the desired sector to rotate to the disk head

How about CD-ROMs, DVDs, and Blu-Rays? (1)

- Data written with the help of *high intensity* laser that makes “**pits**” on the reflecting surface
- During reads:
 - ▣ Use a lower intensity laser
 - ▣ Mirrors and a focusing lens are used to shine light on a specific portion of the disk
 - ▣ The amount of light that is *reflected back* depends on the presence or absence of a pit
 - Use this to interpret a 1 or 0

How about CD-ROMs, DVDs, and Blu-Rays? (2)

- The *shorter* the wavelength, the *smaller* the pit
 - ▣ And greater the density of what can be stored
- DVD uses a 650 nm wavelength laser diode
 - ▣ 780 nm for CD
 - ▣ Pit sizes: DVD = 0.74 μm and CD = 1.6 μm
- What about Blu-Ray?
 - ▣ 405 nm wavelength, 0.13 μm pit size
 - ▣ 50 GB storage possible on one disk
- What's next?
 - ▣ Archival Disc (Sony/Panasonic) 79.5 nm with 300 GB of data storage

FILE SYSTEM IMPLEMENTATION

Boot: Etymology tidbit

Pull your self pull yourself up by your (own) bootstraps

- ▣ To improve your situation in life by your own efforts
- ▣ Bring at least a portion of the OS (kernel) into main memory
 - ▣ Then have a processor execute it

On-disk structures used to implement a file system

(1)

- **Boot control block**
 - ▣ Information needed to boot an OS from that volume
- **Volume control block:** Volume information
 - ▣ Number of blocks in the partition
 - ▣ Size of the blocks
 - ▣ Free-block count/pointers
 - ▣ Free file-control-block count/pointers
 - ▣ UFS: **super-block** Windows: **Master file table**

On-disk structures used to implement a file system (2)

- Directory structure to organize files
 - ▣ One per file system
- Per file file-control-block
 - ▣ Contains details about individual files

In memory structures used to improve performance via caching

- **Mount** table
 - ▣ Information about each mounted volume
- Directory structure **cache**
 - ▣ Holds information about recently accessed directories
- System-wide **open file** table
 - ▣ File control block of each open file
- **Buffers** to hold file-system blocks
 - ▣ To read and write to storage

Creation of a new file

- **Allocate** a file-control block (FCB)
- Read appropriate directory into memory
 - ▣ Directory is just a file in UNIX
 - Special **type** field
- **Update** directory with new file name and FCB
- Write directory back to disk

Partitions: A disk can be sliced into multiple partitions

- **Cooked**
 - ▣ Has a file system
- **Raw**
 - ▣ No file system
 - ▣ UNIX swap space uses this
 - ▣ Hold information needed by disk RAID (***R**edundant **A**rray of **I**ndependent **D**isks*) systems

Boot information can be stored in a separate partition

- Usually a **sequential** series of blocks
 - ▣ Loaded as an image into memory
- Image execution starts at a predefined location

DIRECTORY IMPLEMENTATION

Directory Implementation

- Linear List
- Hash Table

Directory Implementation

Linear List

- File names with pointers to data blocks
- Simple to program
 - ▣ Inefficient and slow execution
- Finding a file requires a **linear search**
- Sorted list
 - ▣ Complicates creation and deletion
- Tree data structures might help here
 - ▣ B-Tree

Directory implementation:

Hash table

- Linear list **and** a hash table is maintained
- Key computed from file name
 - ▣ Hash table value returns pointer to entry in linear list
- Things to consider
 - ① Account for **collisions** in the hash space
 - ② Need to **rehash** the hash table when the number of entries exceed the limit

Allocation methods:

Objective and approaches

- How to allocate space for files such that:
 - ▣ Disk space is utilized effectively
 - ▣ File is accessed **quickly**
- Major Methods
 - ▣ Contiguous
 - ▣ Linked
 - ▣ Indexed

CONTIGUOUS ALLOCATIONS

Contiguous Allocation

- Each file occupies a set of contiguous blocks on the disk
 - ▣ If file is of size n blocks and starts at location b
 - Occupies blocks $b, b+1, \dots, b+n-1$
- Disk head movements
 - ▣ None for moving from block b to $(b+1)$
 - ▣ Only when moving to a different track

Sequential and direct access in contiguous allocations

- Sequential accesses
 - ▣ Remember *disk address* of the last referenced block
 - ▣ When needed, read the next block
- **Direct access** to block i of file that starts at block b
 $b + i$

Contiguous allocations suffer from external fragmentation

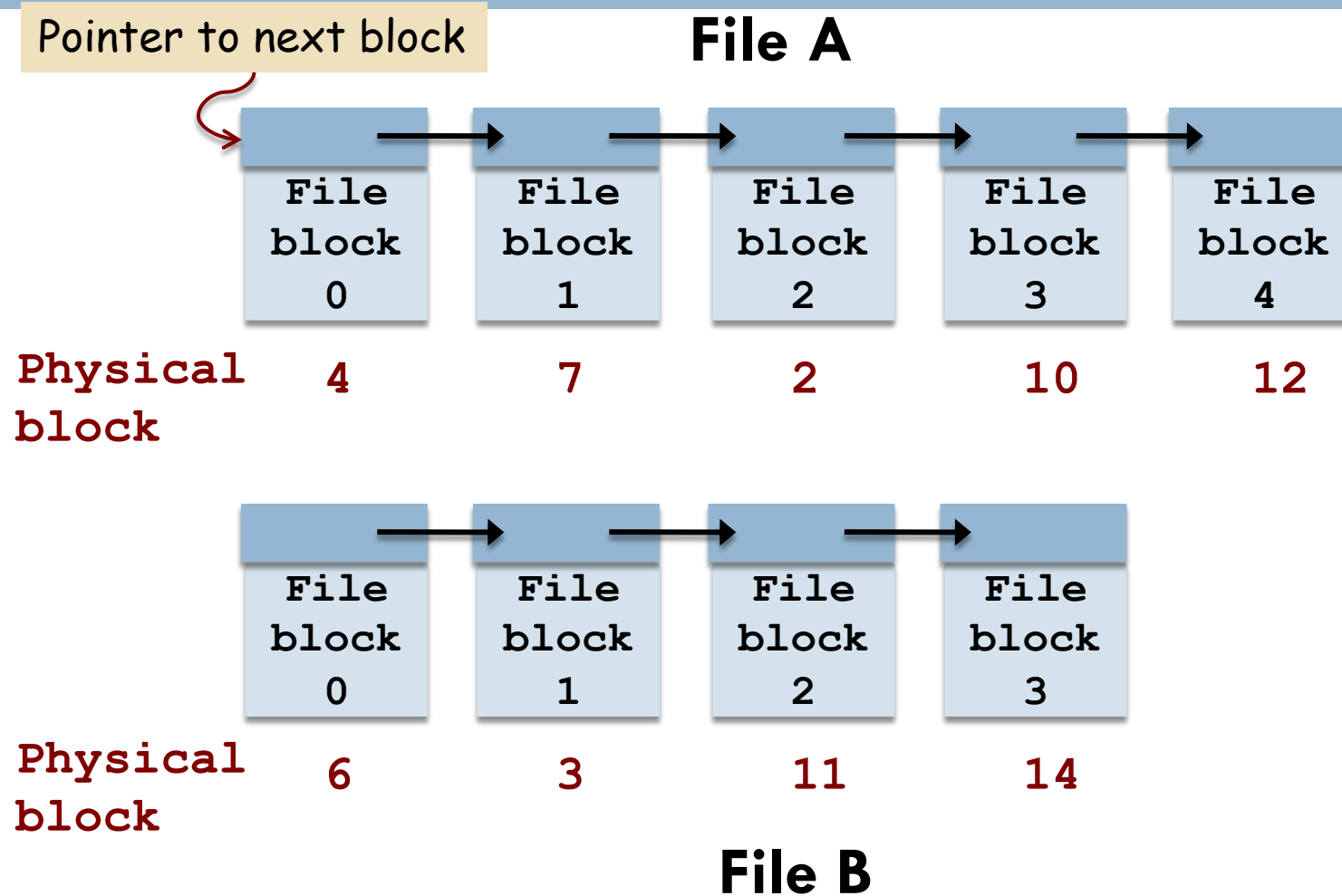
- Free space is broken up into chunks
 - ▣ Space is **fragmented** into holes
- Largest continuous chunk may be insufficient for meeting request
- **Compaction** is very slow on large disks
 - ▣ Needs several hours

Determining how much space is needed for a file is another problem

- **Preallocate** if eventual size of file is known?
 - ▣ Inefficient if file grows very slowly
 - Much of the allocated space is unused for a long time
- Modified contiguous allocation scheme
 - ▣ Allocate space in a continuous chunk initially
 - ▣ When space runs out allocate another set of chunks (**extent**)

LINKED ALLOCATIONS

Linked Allocation: Each file is a linked list of disk blocks



Linked List Allocations:

Advantages

- **Every** disk block can be used
 - ▣ No space is lost in external fragmentation
- Sufficient for directory entry to merely store *disk address of first block*
 - ▣ Rest can be found starting there

Linked List Allocation:

Disadvantages

- Used effectively only for sequential accesses
 - ▣ Extremely **slow random access**
- Space in each block set aside for pointers
 - ▣ Each file requires *slightly more space*
- Reliability
 - ▣ What if a pointer is lost or damaged?

Linked List Allocations: Reading and writing files is much less efficient

- Amount of data storage in block is no longer a **power of two**
 - ▣ Pointer takes up some space
- **Peculiar size** is less efficient
 - ▣ Programs read/write in blocks that is a power of two

Linked list allocation: Take pointers from disk block and put in table

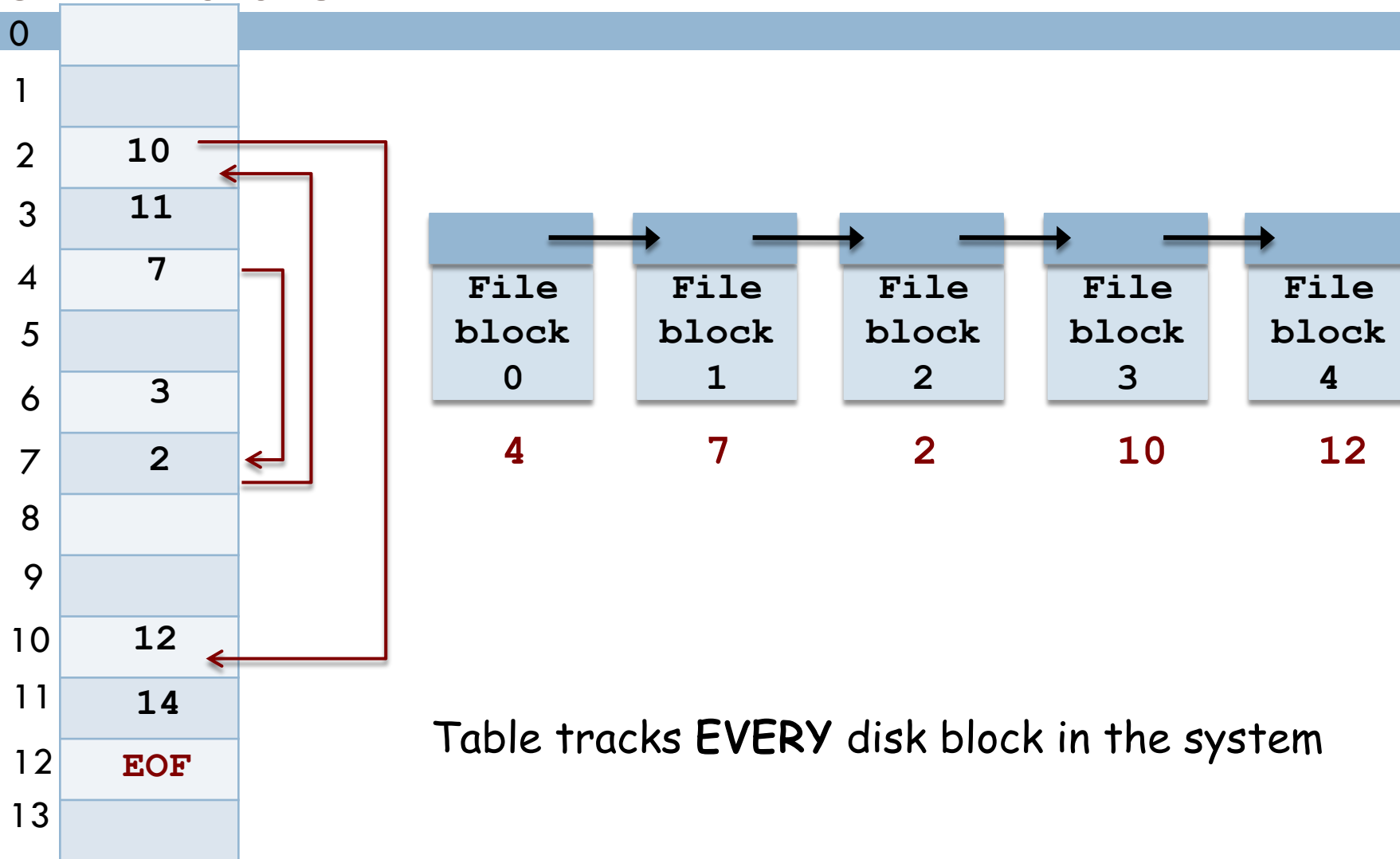


Table tracks **EVERY** disk block in the system

Linked list allocation using an index

- **Entire** disk block is available for data
- Random access is much easier
 - ▣ Chain must still be followed
 - But this chain could be cached in memory
- MS-DOS and OS/2 operating systems
 - ▣ Use such a file allocation table (FAT)

Linked list allocation using an index:

Disadvantages

- Table must be cached **in memory** for efficient access
- A large disk will have a large number of data blocks
 - ▣ Table consumes a large amount of physical memory

The contents of this slide-set are based on the following references

- *Avi Silberschatz, Peter Galvin, Greg Gagne. Operating Systems Concepts, 9th edition. John Wiley & Sons, Inc. ISBN-13: 978-1118063330. [Chapter 11]*
- *Andrew S Tanenbaum. Modern Operating Systems. 4th Edition, 2014. Prentice Hall. ISBN: 013359162X/ 978-0133591620. [Chapter 4]*
- *Kay Robbins & Steve Robbins. Unix Systems Programming, 2nd edition, Prentice Hall ISBN-13: 978-0-13-042411-2. [Chapter 4]*
- *Hard Drives. <http://www.explainthatstuff.com/harddrive.html>*
- *<http://en.wikipedia.org/wiki/DVD>*