

Fault Tolerant Computing

CS 530

Information redundancy: Coding theory

Yashwant K. Malaiya
Colorado State University

Information redundancy: Outline

- Using a parity bit
- Codes & code words
- Hamming distance
 - Error detection capability
 - Error correction capability
- Parity check codes and ECC systems
- Cyclic codes
 - Polynomial division and LFSRs

Redundancy at the Bit level

- Errors can bits to be flipped during transmission or storage.
- An extra parity bit can detect if a bit in the word has flipped.
- Some errors can be corrected if there is enough redundancy such that the correct word can be guessed.
- John Tukey (Princeton/AT&T): “bit” 1948
- Hamming codes: 1950s
- Teletype, ASCII: 1960: 7+1 Parity bit
- Cyclic Codes: 1960

304,805 letters in the Torah:
Count as a signature

Redundancy at the Bit level



Even/odd parity (1)

- Errors can bits to be flipped during transmission/storage.
- Even/odd parity:
 - is basic method for detecting if one bit (or an odd number of bits) has been switched by accident.
- Odd parity:
 - The number of 1-bit must add up to an odd number
- Even parity:
 - The number of 1-bit must add up to an even number

Even/odd parity (2)

- It is known which parity it is being used in the system.
- If it uses an even parity:
 - If the number of 1-bit add up to an odd number then it knows there was an error:
- If it uses an odd:
 - If the number of 1-bit add up to an even number then it knows there was an error:
- However, If an even number of 1-bit is flipped the parity will still be the same. But an error occurs
 - The even/parity can't detect this error:

Even/odd parity (3)

- It is useful when an odd number of 1-bits is flipped.
- Suppose we have an 7-bit binary word (7-digits).
 - Need to add 1 (parity bit) to the binary word.
 - You now have 8 digit word.
 - However, the computer knows that the added bit is a parity bit and therefore ignore it.
- If $\Pr\{1 \text{ bit error}\}=0.01$,
 - $\Pr\{2 \text{ errors}\} = 0.01 \times 0.01 = 0.0001$ if errors are statistically independent

Example (1)

- Suppose you receive a binary bit word “0101” and you know you are using an odd parity.
- Is the binary word corrupted?
- The answer is yes:
 - There are 2 1-bit, which is an even number
 - We are using an odd parity
 - So there must have an error.
- Do we know which bit is in error?
 - No, not enough redundancy.
 - Correction not possible

Parity Bit

- A single bit is appended to each data chunk
 - makes the number of 1 bits even/odd
- Example: even parity
 - 1000000 (1)
 - 1111101 (0)
 - 1001001 (1)
- Example: odd parity
 - 1000000 (0)
 - 1111101 (1)
 - 1001001 (0)

Parity Checking

- Assume we are using even parity with 7-bit ASCII.
- The letter V in 7-bit ASCII is encoded as 0110101.
- How will the letter V be transmitted?
 - Because there are four 1s (an even number), parity is set to zero.
 - This would be transmitted as: 00110101.
- If we are using an odd parity:
 - The letter V will be transmitted as 10110101

Formal discussion: Coding Theory

- The following slides discuss coding theory in formal terms.

Coding theory: Overview

- **Often applied to**
 - Info transfer: often serial communication thru a channel
 - Info storage
- Hamming distance: error detection & correction capability
- Linear separable codes, hamming codes
- Cyclic codes

Error Detecting/Correcting Codes (EDC/ECC)

- **Code:** subset of all possible vectors
 - **Block codes:** all vectors are of the same length
 - **Separable (systematic) codes:** check-bits can be separately identified.
(n,k) code: k info bits, r = n-k check bits
 - **Linear Codes:** Check-bits are linear combinations of info bits. Linear combination of code words is a code word.
- **Code words:** are legal part of the code.

Hamming Distance

- **Hamming distance** between 2 code words X, Y

$$D(x,y)=\sum(x_k\oplus y_k)$$

- $D(001,010)=2$
- $D(000,111)=3$

Hamming distance :
number of bits
that are different

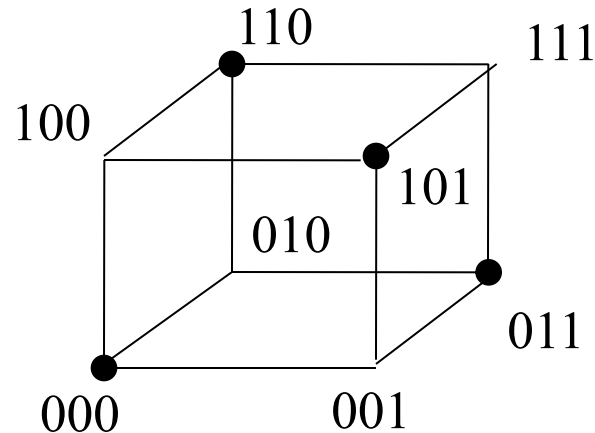
- **Minimum distance:** min of all hamming distance between all possible pairs of code words.

Ex 1: consider code:

000
011
101
110

Min distance=2

Detection Capability



Ex 1: consider code:

000
011
101
110

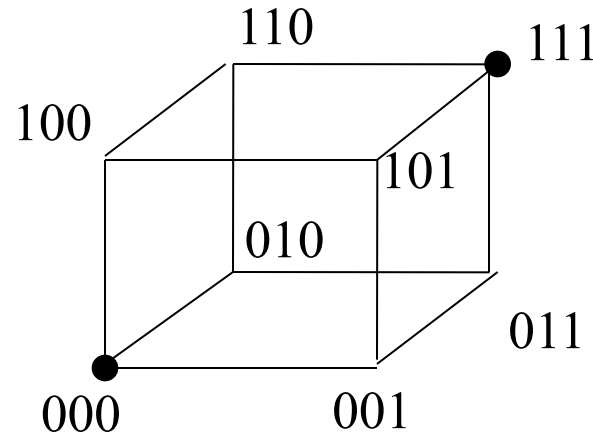
- All single bit errors result in non-code words. Thus all single-bit errors are detectable.
- **Error detection capability:** min Hamming dist d_{\min} , p : number of errors that can be detected

$$p+1 \leq d_{\min} \quad \text{or} \quad p_{\max} = d_{\min} - 1$$

Errors Correction Capability

Ex 2: Consider a code

000
111



- Assume single-bit errors are more likely than 2-bit errors.
- In Ex 2 all single bit errors can be corrected. All 2 bit errors can be detected.
- **Error correction capability:** t: number of errors that can be corrected:

$$2t+1 \leq d_{\min} \quad \text{or} \quad t_{\max} = \lfloor (d_{\min} - 1) / 2 \rfloor$$

Parity Check Codes

- Parity Check Codes are linear block codes
- Linear: *addition*: \oplus , *multiplication*: AND
- Property: d_{\min} = weight of lightest non-zero code word
- $G_{k \times n}$: **Generator matrix** of a (n,k) code: rows are a set of basis vectors for the code space.

$$i.G = v$$

i: $1 \times k$ info, v : $1 \times n$ code word

- For systematic code: $G = [I_k \ P]$ I_k : $k \times k$, P : $k \times (n-k)$

Ex: $k=3$, $r=n-k=2$

$$G = \left[\begin{array}{ccc|cc} 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 & 0 \end{array} \right]$$

Convention:

n: total bits

k: information bits

$r = n-k$: check bits

Parity Check Codes: Code Word Generation

- Ex: info $i = (1 \ 0 \ 1)$

$$G = \left[\begin{array}{ccc|cc} 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 & 0 \end{array} \right]$$

then

$$v = (1 \ 0 \ 1) \left[\begin{array}{ccc|cc} 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 & 0 \end{array} \right]$$

$$v = \underbrace{(1 \ 0 \ 1)}_{\text{info}} \underbrace{(0 \ 1)}_{\text{check}}$$

Note: Matrix
multiplication:
(dimensions)
 $a \times b. \ b \times c = a \times c$

Parity Check Codes: Parity Check Matrix H

- If v is a code word: $v.H^t = 0$ $H^t: n \times r, 0: 1 \times r$
- Corrupted information: $w = v + e$ all $1 \times n$

$$\begin{aligned} w.H^t &= (v+e).H^t = 0 + e.H^t \\ &= s \text{ syndrome of error} \end{aligned}$$

Syndrome is $1 \times r$
 r : check bits

- For t -error correcting code, syndrome is unique for up to t errors & can be used for correction.
- For systematic codes $G.H^t = 0$,

$$H = [-P^t \ I_r]$$

Parity Check Matrix: Ex

$$v = (1 \ 0 \ 1) \left[\begin{array}{ccc|cc} 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 & 0 \end{array} \right] \quad v = (1 \ 0 \ 1 \ 0 \ 1)$$

$$H = \left[\begin{array}{ccc|cc} 1 & 1 & 1 & 1 & 0 \\ 1 & 1 & 0 & 0 & 1 \end{array} \right]$$

$$v \cdot H^t \text{ is } (1 \ 0 \ 1 \ 0 \ 1) \begin{array}{c} \uparrow \\ 0 \end{array} \left[\begin{array}{c} 1 \\ 1 \\ 1 \\ 1 \\ 0 \end{array} \right] \begin{array}{c} 1 \\ 1 \\ 0 \\ 0 \\ 1 \end{array} = (0 \ 0) \begin{array}{c} \uparrow \\ 1 \end{array}$$

Hamming Codes

- Single error correcting requiring $d_{\min} = 3$
- Syndrome : $s = v.H^T$, $1 \times r = 1 \times n. n \times r$
 - $S = 0$ normal, rest $2^r - 1$ syndromes indicate error. Can correct one error if syndrome is unique for each error.
 - Thus, Hamming codes must have property: $n \leq 2^r - 1$

Info Word Size	Min Check bits	Total bits	Overhead
4	3 (why not 2?)	7	75%
8	4	12	50
16	5	21	31
32	6	38	19

Convention:
n: total bits
k: information bits
r: check bits

Hamming codes: Ex: Non-positioned

$$G = \begin{array}{c|ccc} & d0 & & d3 & c1 & & c3 \\ \hline & 1 & 0 & 0 & 0 & 1 & 1 & 0 \\ & 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ & 0 & 0 & 1 & 0 & 0 & 1 & 1 \\ & 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{array}$$

$$H = \begin{array}{c|ccc} & 1 & 1 & 0 & 1 & 1 & 0 & 0 \\ \hline & 1 & 0 & 1 & 1 & 0 & 1 & 0 \\ & 0 & 1 & 1 & 1 & 0 & 0 & 1 \end{array}$$

$$\begin{array}{c} i \\ (1110) \end{array} \rightarrow \begin{array}{c} V \\ (1110 \ 000) \end{array}$$

$\underbrace{\hspace{1.5cm}}_{\text{data}} \quad \underbrace{\hspace{1.5cm}}_{\text{check}}$

$$H^T = \begin{array}{c|cc} & 1 & 1 & 0 \\ \hline & 1 & 0 & 1 \\ & 0 & 1 & 1 \\ & 1 & 1 & 1 \\ & 1 & 0 & 0 \\ & 0 & 1 & 0 \\ & 0 & 0 & 1 \end{array}$$

$$(1110 \ 000) \quad H^T = (000)$$

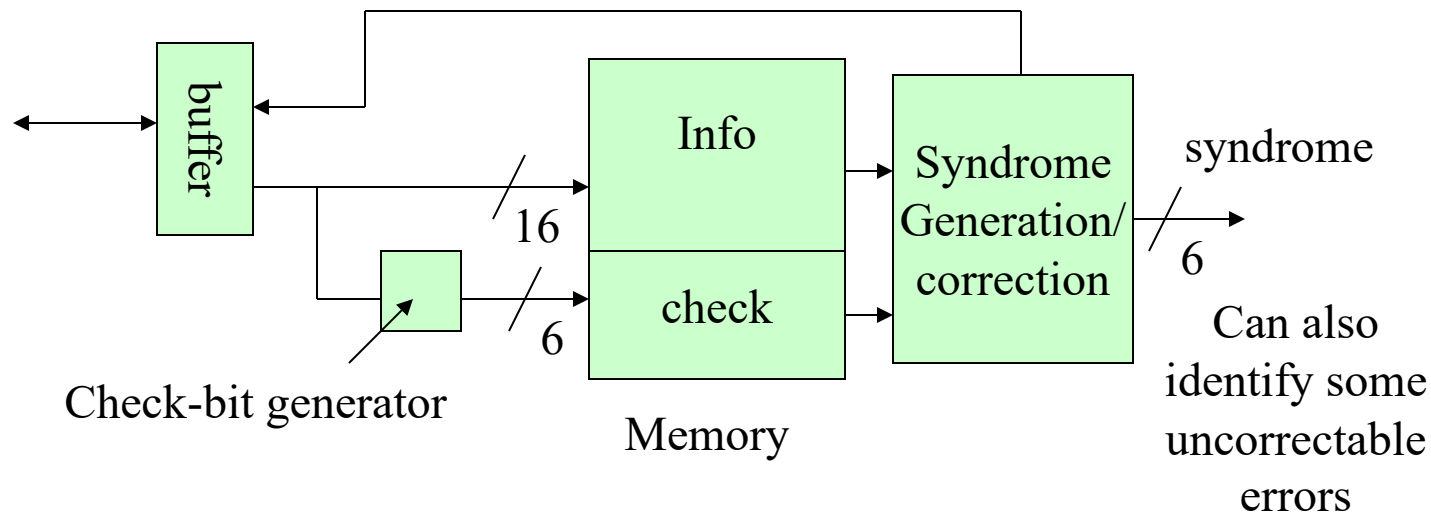
$$(0110 \ 000) \quad H^T = (110)$$

$$(1110 \ 000) \quad H^T = (111)$$

“Positioned” Hamming Code

Error in	d3	d0	d1	c1	d2	c2	c3
syndrome	111	110	101	100	011	010	001

ECC System



- Ex: Intel, AMD ECC chips. Cascadable 16-64 bits.
- All 1-bit errors corrected.
- Automatic *error scrubbing* using read-modify-write cycle.

BCH Cyclic Codes

- **Cyclic Codes:** parity check codes such that cyclic shift of a code word is also a code word.
- *Polynomial:* to represent bit positions
(n,k) cyclic code \Rightarrow generator polynomial of degree n-k
 $v(x)=M(x).G(x)$ degrees (n-1)=(k-1)(n-k)
- Ex: $G(x) = x^4+x^3+x^2+1 \Rightarrow (11101)$ degree 4 (7,3) cyclic code

Message	Corres. v(x)	codeword
000 (0)	0	0000 000
110 (x^2+x)	$x^6+x^3+x^2+x$	1001 110
111 (x^2+x+1)	x^6+x^4+x+1	1010 0011

$$\begin{aligned}
 &(x^2+x)(x^4+x^3+x^2+1) \\
 &= (x^6+0.x^5+0.x^4+x^3+x^2+x) \\
 &= (x^6+x^3+x^2+x)
 \end{aligned}$$

Systematic Cyclic Codes

- Consider $x^{n-k}M(x) = Q(x)G(x) + C(x)$
Quotient $Q(x)$: degree $k-1$, remainder $C(x)$: degree $n-k-1$
- Then $x^{n-k}M(x) - C(x) = Q(x)G(x)$,
thus $x^{n-k}M(x) - C(x)$ is a code word.
 - Shift message $(n-k)$ positions to the left
 - Fill vacated bits by remainder
- Polynomial division to get remainder
 - Note computation is *linear*

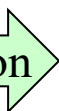
Convention:
n: total bits
k: information bits
r: check bits

Systematic Cyclic Codes

- Ex: $G(x)=x^4+x^3+x^2+1$ $n-k=4, n=7$

message	$x^4M(x)$	Remainder $C(x)$	codeword
000	0 (0000 000)	0(0000)	000 0000
110	x^6+x^5 (1100000)	x^3+1 (1001)	110 1001
111	$x^6+x^5+x^4$ (1110000)	x^2 (0100)	111 0100

- An error-free codeword divided by generator polynomial will give remainder 0.

Polynomial division 

Polynomial division

- Ex: $G(x) = x^4 + x^3 + x^2 + 1$ $n-k=4, n=7$,
 $M = (110)$, $x^4 M(x)$ is $x^6 + x^5$, remainder is $x^3 + 1$.

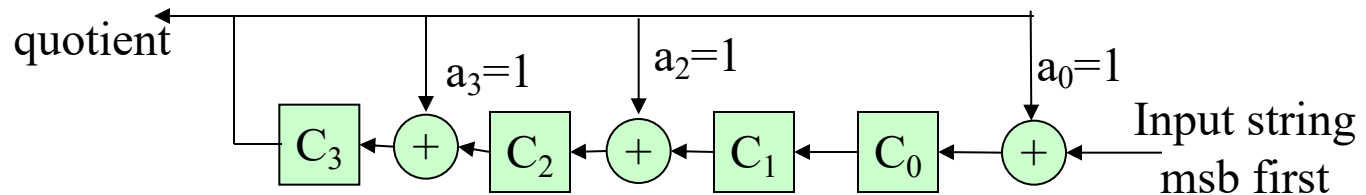
$$\begin{array}{r}
 \begin{array}{cccc}
 x^4 & +x^3 & +x^2 & +1
 \end{array}
 \begin{array}{l}
 \begin{array}{cc}
 x^2 & +1
 \end{array} \\
 \hline
 \begin{array}{cccc}
 x^6 & +x^5 & & \\
 x^6 & +x^5 & +x^4 & +x^2
 \end{array} \\
 \hline
 \begin{array}{cccc}
 & & x^4 & +x^2
 \end{array} \\
 \begin{array}{cccc}
 & & x^4 & +x^3 +x^2 +1
 \end{array} \\
 \hline
 \begin{array}{cccc}
 & & & x^3 +1
 \end{array}
 \end{array}
 \end{array}$$

- Code word then is
 $(110 \underbrace{1001})$

remainder


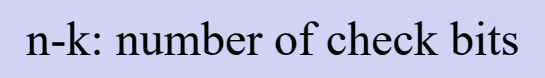
LFSR: Poly. Div. Circuit

- Ex: $G(x)=x^4+x^3+x^2+1$ $n-k=4$, $C(x)$ of degree $n-k-1=3$



1. Clear shift register.
 2. Shift $(n-k)$ message bits in.
 3. K shift lefts (hence shift out k bits of quotient)
 4. Disable feedback, shift out $(n-k)$ bit remainder.
- *Linear feedback shift Register* used for both encoding and checking.

LFSRs

- Remainder is a *signature*. If good and faulty message have same signature, there is an *aliasing error*.
- Error detection properties: Smith 1980 
 - For $k \rightarrow \infty$, $P\{\text{an aliasing error}\}$ is $2^{-(n-k)}$, provided all error patterns are equally likely.
 - All single errors are detectable, if poly has 2 or more non-zero coefficients.
 - All $(n-k)$ bit burst errors are detected, if coefficient of x^0 is 1.
- Other LFSR implementations: parallel inputs, exors only in the feedback paths. 

Autonomous LFSRs (ALFSR)

- ALFSR: LFSR with input=0.
- If polynomial is *primitive* (*irreducible*), its state will cycle through all $(2^{n-k}-1)$ combinations, except $(0,0,..0,0)$.
- A list of polynomials of various degrees is available.
- Alternatives to ALFSR:
 - GLFSR
 - Antirandom

Some resources

- <http://www-math.ucdenver.edu/~wcherowi/courses/m5410/m5410fsr.html>
Linear Feedback Shift Registers, Golomb's Principles
- <http://theory.lcs.mit.edu/~madhu/FT01/>
Algorithmic Introduction to Coding Theory

An interesting property:

- **Theorem 1 : Let H be a parity-check matrix for a linear (n,k) -code C defined over F . Then every set of $s-1$ columns of H are linearly independent if and only if C has minimum distance at least s .**